



「安盛環球基金」  
(下稱「本公司」)  
盧森堡可變動資本投資公司

登記辦公室: 49, avenue J. F. Kennedy L-1855  
Luxembourg  
商業登記編號: Luxembourg, B-63.116

2024年1月19日

本通知書為重要文件，請立即注意本通知書內容。  
如有任何疑問，請尋求專業建議。

(中譯文)

致各股東：

謹通知 台端本公司之董事（下稱「董事」，或於組成本公司董事會整體時，稱「董事會」）已決定對本公司公開說明書（下稱「公開說明書」）進行若干變更，俾使本公司得更有效照應 台端之利益。

除本通知書中另有定義外，本通知書所載之詞彙及表達方式與公開說明書所載者具相同意涵。

## 第一部分 子基金相關變更

1. 特定子基金投資目標之澄清
2. 特定子基金「衍生性金融商品及有效投資組合管理技術」章節之修改

## 第二部分 一般事項

3. 本公司股份級別之修改
4. 其他事項

AXA IM – RESTRICTED

## 第一部分 子基金相關變更

### 1. 特定子基金投資目標之澄清

董事會注意到公開說明書特定子基金增補文件「目標」章節指出該投資目標將透過投資於衍生性金融商品予以達成，惟該子基金僅限於為有效投資組合管理及避險之目的而投資於衍生性金融商品。

因此，董事會已決議修訂下列子基金之公開說明書增補文件及其主要投資人(資訊)文件(KIID/KID)，以刪除述及「衍生性金融商品」之處，俾與「衍生性金融商品及有效投資管理技術」一節相符（如有適用）：

- 新興市場股票 QI 基金（Emerging Markets Responsible Equity QI）；
- 歐洲小型企業股票基金（Europe Small Cap）。

上述變更預期不會對各子基金之(1)風險屬性、(2)支出與費用及(3)投資組合之成份造成影響。

上述變更將於本通知書日期之一個月後（即2024年2月19日）生效。

股東不同意上述變更者，得於2024年2月19日前免負擔費用申請贖回其股份。

### 2. 特定子基金「衍生性金融商品及有效投資管理技術」一節之修訂

董事會已決議闡明公開說明書部分子基金增補文件「衍生性金融商品及有效投資管理技術」一節之說明。

為透明及一致性之目的，董事會已決議修訂下列子基金之公開說明書增補文件及（若有必要）其主要投資人(資訊)文件(KIID/KID)，以闡明其得基於避險目的而運用衍生性金融商品（即該子基金得針對特定股份級別運用衍生性金融商品以進行匯率避險）：

- 歐洲小型企業股票基金（Europe Small Cap）。

上述變更預期不會對各子基金之(1)風險屬性、(2)支出及費用及(3)投資組合之組成造成影響。

上述變更將於更新之公開說明書發布日生效。

## 第二部分 一般事項

### 3. 本公司股份級別之修訂

董事會已決議修訂公開說明書一般內容部分詳列各股份級別特性之表格中「BL」股份級別之「備註」欄位，以(i)刪除轉換至其他子基金「BL」股份級別須經董事會事前同意之規定，及(ii)闡明「BL」股份贖回之申請僅得以股份為單位（包含畸零股）。

上述變更將於更新之公開說明書發布日生效。

### 4. 其他事項

董事會最終決議對公開說明書進行其他小幅文書變更、修訂、澄清、更正、調整及/或更新，包括參照更新與定義條款之調整，包括以下內容：

- 於「UCITS之一般投資規則」章節中，刪除目標子基金在交叉投資的情況下，均不得向投資子基金收取任何管理、申購或贖回費用之過時舊規定；相關子基金之專屬增補文件中已有規定，子基金若投資於其他由管理公司直接或委託管理，或由其他因共同管理或控制關係或直接或間接持有關係而與管理公司產生關聯之公司管理之可轉讓證券集合投資計劃(UCITS)或集合投資計劃(UCIs)，禁止就此投資收取申購費或贖回費。
- 於存託機構章節「利益衝突」部分，回復先前遭誤刪之存託機構營業活動相關說明；
- 更新管理公司之管理階層名單，由Caroline Portel女士接替Laurent Caillot先生作為AXA Investment Managers S.A.之代表。
- 於「服務提供者」一節，更新AXA Investment Managers US Inc.之地址。

上述變更將於更新之公開說明書發布日生效。

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更新之本公開說明書複本及主要投資人(資訊)文件(KIID/KID)得於本公司註冊辦事處及網站<https://funds.axa-im.lu/fund-centre>免費取得。

安盛環球基金  
董事會  
敬上



AXA WORLD FUNDS  
(the "Company")  
A Luxembourg Société d'Investissement à Capital Variable  
Registered Office: 49, avenue J. F. Kennedy  
L-1855 Luxembourg  
Commercial Register: Luxembourg, B-63.116

19 January 2024

**THIS DOCUMENT IS IMPORTANT AND REQUIRES YOUR IMMEDIATE ATTENTION.**  
**IF IN DOUBT, PLEASE SEEK PROFESSIONAL ADVICE.**

Dear Shareholders,

We are pleased to inform you that the directors of the Company (the "**Directors**" or together composing the board of directors of the Company, also referred to as the "**Board**") have decided to introduce a number of changes to the prospectus of the Company (the "**Prospectus**"), which will enable it to look after your interests more effectively.

*Except as otherwise specified in this notice, words and expressions contained hereafter shall have the same meaning as in the Prospectus.*

**PART 1 – CHANGES RELATED TO THE SUB-FUNDS**

1. **Clarification of the Investment Objective of certain Sub-Funds**
2. **Amendments to the "Derivatives and Efficient Portfolio Management Techniques" section of certain Sub-Funds**

**PART 2 – GENERAL**

3. **Amendments to Share Classes of the Company**
4. **Miscellaneous**

## **PART 1 - CHANGES RELATED TO THE SUB-FUNDS**

### **1. Clarification of the Investment Objective of certain Sub-Funds**

The Board has noted that the “Investment Objective” section in the Prospectus supplements of certain Sub-Funds indicates that such investment objective will be achieved through investments in derivatives while the Sub-Funds only invest in derivatives for efficient portfolio management and hedging purposes only.

Therefore, the Board has decided to amend the “Investment Objective” section of the respective Prospectus supplements of the following Sub-Funds as well as their KI(I)Ds to remove the reference to “derivatives” and, as applicable, to adapt the ““Derivatives and Efficient Portfolio Management Techniques” section accordingly:

- “Emerging Markets Responsible Equity QI”; and
- “Europe Small Cap”.

It is not anticipated that these changes will have an impact on (i) the risk profile, (ii) the costs and fees, and (iii) the portfolio composition of the Sub-Funds.

**These changes will take effect on 19 February 2024, i.e., one month after the date of the present Notice.**

**Shareholders who do not agree with such changes may request the redemption of their shares free of charge until 19 February 2024.**

### **2. Amendments to the “Derivatives and Efficient Portfolio Management Techniques” section of certain Sub-Funds**

The Board has decided to clarify in the “Derivatives and Efficient Portfolio Management Techniques” section of the Prospectus supplements of certain Sub-Funds:

The Board has decided, for transparency and harmonization purposes, to clarify in the “Derivatives and Efficient Portfolio Management Techniques” section of the Prospectus supplements of the following Sub-Funds and, to the extent necessary, in their KI(I)Ds, that they may use derivatives for hedging purposes (as such Sub-Funds may use derivatives for currency hedging for certain Share Classes):

- “Europe Small Cap”.

It is not anticipated that these changes will have an impact on (i) the risk profile, (ii) the costs and fees, and (iii) the portfolio composition of the Sub-Funds.

**These changes will take effect on the date of the publication of the updated Prospectus.**

## **PART 2 – CHANGES TO THE GENERAL PART OF THE PROSPECTUS**

### **3. Amendments to Share Classes of the Company**

The Board has decided to amend the column “Notes” of the “BL” Share Class in the table detailing the characteristics of Share Classes within the general part of the Prospectus in order to (i) remove the Board’s prior approval to the conversion into a “BL” Share Class of another Sub-Fund and (ii) clarify that redemptions of “BL” Shares shall be requested in number of Shares (including fractional shares) only.

**This change will take effect on the date of the publication of the updated Prospectus.**

#### **4. Miscellaneous**

The Board has finally decided to implement in the Prospectus a limited number of other clerical changes, amendments, clarifications, corrections, adjustments and/or updates, including reference updates and adjustments of defined terms, including the following:

- In the section “General Investment Rules for UCITS”, removal of the historical and outdated reference to the impossibility in the context of cross-investment for the target Sub-Fund to charge the investing Sub-Fund any fees for management, buying or redeeming Shares, noting that the prohibition to charge subscription or redemption fee on account of a Sub-Fund’s investment in the units of other UCITS and/or UCIs that are managed directly or by delegation by the Management Company or by any other company with which the Management Company is linked by common management or control, or by a substantial direct or indirect holding is provided for in the relevant Sub-Funds’ dedicated supplements;
- In the Depositary section, “conflicts of interests”, reinsertion of paragraphs describing the depositary activities that were previously erroneously deleted;
- Update of the directory of the Management Company following the replacement of Mr. Laurent Caillot as representative of AXA Investment Managers S.A. by Mrs. Caroline Portel;
- Update of the address of AXA Investment Managers US Inc. under the “Services Providers” section.

**These changes will take effect on the date of the publication of the updated Prospectus.**

\* \* \*

A copy of the revised Prospectus and the KI(I)Ds, as applicable, will be made available free of charge at the registered office of the Company and on <https://funds.axa-im.lu/fund-centre>.

Yours faithfully,

The Board of Directors  
AXA World Funds