

**First Sentier Investors Global Umbrella Fund Public Limited Company**

首源投資環球傘型基金

70 Sir John Rogerson's Quay

Dublin 2, Ireland

*An umbrella fund with segregated liability between sub-funds*

旗下子基金間責任明確劃分之傘型基金

( 中譯文僅供參考，與原文相較可能不盡完整或有歧異，如有疑義應以英文本為準。 )

( 節譯文 )

**This document is important and requires your immediate attention. If you are in any doubt as to the action you should take, you should seek advice from your investment consultant, tax adviser and/or legal adviser as appropriate.**

本文件係屬重要文件，並需您立即之關注。若對您應採取之行動有任何疑問，請視情況向您的投資顧問、稅務顧問及/或法律顧問尋求建議。

**If you have sold or transferred all of your Shares in a sub-fund of First Sentier Investors Global Umbrella Fund plc (the "Company") please pass this letter to the purchaser or transferee or to the stockbroker, bank or other agent through whom the sale or transfer was effected for transmission to the purchaser or transferee as soon as possible.**

如台端已出售或轉讓首源投資環球傘型基金有限公司（下稱「本公司」）旗下子基金之所有持股，請將本函交付予購買人、受讓人，或執行出售或轉讓之股票經紀人、銀行或其他代理人，以使其儘速交付予購買人或受讓人。

**Unless otherwise defined, capitalised terms used herein shall bear the same meaning as capitalised terms used in the prospectus for the Company dated 30 November 2022 (the "Prospectus") and any supplements and the applicable local covering documents. A copy of the Prospectus is available upon request during normal business hours from the registered office of the Company.**

除本文件另有定義者外，所有定義之詞彙應與本公司 2022 年 11 月 30 日之公開說明書（下稱「公開說明書」）及其任何增補與應適用之當地替代文件中定義之詞彙具有相同涵義。您可於一般營業時間內向本公司註冊辦事處請求取得公開說明書複本。

**The Directors accept responsibility for the accuracy of the information contained in this document. To the best of the Directors' knowledge and belief the information contained in this document is in accordance with the facts and does not omit anything likely to affect the import of such information.**

董事對於本函中所含資訊之正確性負責。依據董事最佳之所知及所信，本函所載之內容均與事實相符，且並未遺漏任何可能重大影響該等資訊內容。

Directors: Noel Ford, Michael Morris, Laura Chambers, Kerry-Leigh Baronet (British)  
Company Registered in Ireland under Company Number: 288284

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26 October 2023

2023年10月26日

Dear Shareholder,

親愛的股東

## Notification of various changes to the Company and its sub-funds (each a "Fund", collectively the "Funds")

有關本公司及其子基金（各稱「基金」，合稱「各基金」）數項變更之通知

### 1) What's happening?

發生何事？

We are making certain changes and updates that may impact you in the revised Prospectus which is expected to be published on or about 30 November 2023. These updates are summarised below:

我們正在對預計於 2023 年 11 月 30 日或鄰近日期發布之經修訂之公開說明書進行數項變更及更新，而其可能對台端產生影響。相關之變更摘要如下：

### A) Proposed Appointment of Management Company to the Company

本公司管理公司之擬議指派

We are writing to you in your capacity as a Shareholder in one or more of the Funds of the Company to notify you of the proposed appointment of a management company to the Company (the "**Proposed Appointment**").

我們因您身為本公司一或多檔基金之股東，從而致函以通知您本公司之管理公司之擬議指派（下稱「擬議指派」）。

#### Background

#### 背景

As you may be aware, the Company is currently authorised by the Central Bank as a self-managed investment company pursuant to the UCITS Regulations. It is now proposed that First Sentier Investors (Ireland) Limited ("**FSI Ireland**") be appointed as the management company of the Company, with effect from on or around 30 November 2023 (the "**Effective Date**").

如您所知，本公司目前係中央銀行依UCITS條例授權之自行管理之投資公司。現擬議指派First Sentier Investors (Ireland) Limited（下稱「**FSI Ireland**」）擔任本公司之管理公司，並自2023年11月30日起生效（下稱「生效日」）。

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## About FSI Ireland

### 關於 FSI Ireland

FSI Ireland is a private limited company incorporated in Ireland on 26 June 2018 under registration number 629188, with its registered office 70 Sir John Rogerson's Quay, Dublin 2, Ireland.

FSI Ireland係一私人有限公司，於2018年6月26日於愛爾蘭成立，註冊編號為629188，其註冊辦公室地址為70 Sir John Rogerson's Quay, Dublin 2, Ireland。

Similar to the Company's existing Investment Manager and Sub-Investment Managers, FSI Ireland forms part of Mitsubishi UFJ Financial Group, Inc.'s subsidiary group and is wholly owned by First Sentier Investors Europe Holdings Limited (a company incorporated in England and Wales).

與本公司現有之投資經理及次投資經理相似，FSI Ireland為Mitsubishi UFJ Financial Group, Inc.之子公司集團，且由First Sentier Investors Europe Holdings Limited (一家於英格蘭及威爾斯成立之公司)全資持有。

FSI Ireland forms part of First Sentier Investors, an international asset management group headed by First Sentier Investors Holdings Pty Limited (a company incorporated in Australia).

FSI Ireland係構成首源投資 (First Sentier Investors) 之一部分，首源投資係一由First Sentier Investors Holdings Pty Limited (一家於澳洲成立之公司) 領導之國際資產管理集團。

FSI Ireland has been authorised by the Central Bank to act as a UCITS management company since 16 November 2022 and as an alternative investment fund manager since 8 March 2019, pursuant to the UCITS Regulations and the Alternative Investment Fund Managers Regulations ("AIFM Regulations") respectively. These functions include:

FSI Ireland業經中央銀行分別依UCITS條例及另類投資基金經理條例 (下稱「AIFM條例」) 授權，自2022年11月16日擔任UCITS管理公司及2019年3月8日擔任另類投資基金經理。此等職權包括：

- investment management (portfolio management and risk management), and 投資管理 (投資組合管理及風險管理) · 及
- administration services, distribution and marketing. 行政服務、經銷及行銷。

FSI Ireland is also registered as an Investment Adviser with the Securities and Exchanges Commission of the United States, under the Investment Adviser Act of 1940, which was approved on 23 March 2021.

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FSI Ireland亦向美國證券交易委員會註冊為1940年投資顧問法下之投資顧問，並於2021年3月23日取得核准。

### Rationale for the Proposed Appointment

#### 擬議指派之理由

Following a review of the current business model, to ensure that the Company's operational model is in line with industry best practice and evolving regulatory guidance the board of directors of the Company made the decision to appoint a UCITS management company in place of its current self-managed structure.

於審視現行業務模式後，為確保本公司之營運模型符合產業最佳實務及不斷演進之監理指引，本公司之董事會決定指派一家UCITS管理公司取代現行之自行管理架構。

### Implications of the Proposed Appointment

#### 擬議指派之影響

With effect from the Effective Date, the Company will become an externally managed investment company and will cease to operate as a self-managed investment company.

自生效日起，本公司將成為由外部管理之投資公司，並將不再是一家自行管理之投資公司。

Although the Proposed Appointment will result in consequential changes to the investment delegation structure in respect of existing investment delegates (including a re-designation of the capacity of certain existing investment delegates, as further described below) (the "Delegation Change") and service providers of the Company and the Funds will be appointed by FSI Ireland rather than by the Company, it will not result in any other changes to the way the Funds are managed or administered. This means the underlying investment teams and individual portfolio managers that are currently responsible for managing each Fund will not change as a result of the Proposed Appointment or the Delegation Change. The appointment of the Company's various investment delegates and service providers will therefore be adjusted to reflect the presence of FSI Ireland in the Company's structure as described below.

雖然擬議之指派將導致現有投資受任人之投資委任架構產生相對變更（包括重新指定特定現行投資受任人之能力，其進一步說明如下）（下稱「委任變更」），且本公司及本基金之服務供應商將改由FSI Ireland任命，而非本公司，惟此不會導致本基金之管理或行政方式產生任何其他變更。這代表目前負責管理各基金之所屬投資團隊及個別投資組合經理不會因擬議指派或委任變更而產生任何變動。因此，茲將對本公司各種投資受任人及服務供應商之任命進行調整，以反映FSI Ireland於本公司架構之存在，如下述。

As part of the Proposed Appointment, FSI Ireland will ultimately be responsible for the following activities which are described further below:-

作為擬議指派之一部分，FSI Ireland將就下列活動負最終責任，茲進一步說明如下：

#### **1) Investment Management**

##### 投資管理

Currently, the Company delegates the investment management function in respect of the Funds at all times to First Sentier Investors (Hong Kong) Limited ("FSI HK") (as the investment manager), which in turn sub-delegates the investment management function in respect of the Funds to a pool of Sub-Investment Managers, namely First Sentier Investors (UK) IM Limited, First Sentier Investors

(Australia) RE Ltd, First Sentier Investors (Singapore), First Sentier Investors (Australia) IM Ltd<sup>1</sup> and First Sentier Investors (US) LLC<sup>1</sup>.

目前，本公司始終將本基金之投資管理職能委託予 First Sentier Investors (Hong Kong) Limited (「FSI HK」) (作為投資經理)，而其又將本基金之投資管理職能轉而複委託予一批投資經理，即 First Sentier Investors (UK) IM Limited、First Sentier Investors (Australia) RE Ltd、First Sentier Investors (Singapore)、First Sentier Investors (Australia) IM Ltd<sup>1</sup> 及 First Sentier Investors (US) LLC。

Following the Proposed Appointment, FSI Ireland will instead delegate the investment management function in respect of the Funds at all times directly to a pool of investment delegates (the “Investment Managers”), namely:-

於擬議指派後，FSI Ireland 將直接將本基金之投資管理職能委託予一批投資受任人 (下稱「投資經理」)，即：

- FSI HK;
- First Sentier Investors (UK) IM Limited;
- First Sentier Investors (Australia) RE Ltd;
- First Sentier Investors (Australia) IM Ltd<sup>1</sup>; and
- First Sentier Investors (US) LLC<sup>1</sup>.

First Sentier Investors (UK) IM Limited, First Sentier Investors (Australia) RE Ltd, First Sentier Investors (Australia) IM Ltd<sup>1</sup> and First Sentier Investors (US) LLC<sup>1</sup> will be re-designated as Investment Managers of the Funds alongside FSI HK, which will remain as an Investment Manager. FSI HK will continue to appoint First Sentier Investors (Singapore) as a Sub-Investment Manager of the Funds.

First Sentier Investors (UK) IM Limited、First Sentier Investors (Australia) RE Ltd、First Sentier Investors (Australia) IM Ltd<sup>1</sup> 及 First Sentier Investors (US) LLC 將被重新指定為本基金之投資經理，而 FSI HK 將繼續擔任投資經理。另，FSI HK 將繼續指派 First Sentier Investors (Singapore) 擔任本基金之次投資經理。

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<sup>1</sup> First Sentier Investors (Australia) IM Ltd and First Sentier Investors (US) LLC currently do not and, upon the Proposed Appointment and the Delegation Change, will not be appointed to manage the assets of any of the Funds authorised by the Securities and Futures Commission in Hong Kong (“SFC”).

(略譯)

The pooled investment delegate arrangement means that FSI Ireland shall at all times delegate the discretionary investment management of all or a portion of the assets of any of the Funds to one or more of the above Investment Managers from time to time. Under these arrangements, the delegation of the investment management of all or a portion of the assets of a Fund or the Funds may be changed from a particular Investment Manager to another Investment Manager(s) from time to time by FSI Ireland, to allow for the global mobility of individual portfolio managers as well as to allow the Company and FSI Ireland at all times to make use of the most appropriate authorised Investment Manager(s). Particulars of which entity(ies) is/are responsible for discretionary investment management of the relevant Fund(s) are available upon request from the offices of FSI Ireland, and in the most recent annual report, or semi-annual report of the Company.

整批的投資委任安排代表 FSI Ireland 應始終將任何本基金之全部或部分資產之全權委託投資管理隨時委託予上述一或多名投資經理。依據此等安排，FSI Ireland 得隨時將一或多檔基金之全部或部分資產之投資管理委任自一特定投資經理人變更為另一投資經理人，以使個別投資經理於全球範圍內流轉，並使本公司及 FSI Ireland 始終利用最合適之授權投資經理。關於哪些實體負責相關基金之全權委託投資管理之詳細資訊，得向 FSI Ireland 之註冊辦事處索取，並得於本公司最近期之半年度及年度報告中查閱。

All of the Investment Managers and First Sentier Investors (Singapore), as Sub-Investment Manager, are currently authorised to manage the assets of the Company and the Funds.

所有投資經理及作為次投資經理之 First Sentier Investors (Singapore) 目前均獲授權以管理本公司及本基金之資產。

## 2) Administration

行政

Following the Proposed Appointment, HSBC Securities Services (Ireland) DAC will remain as the Administrator of the Company and the Funds.

於擬議指派後，HSBC Securities Services (Ireland) DAC 將繼續擔任本公司及本基金之行政管理人。

The Administrator will continue to perform the same role and duties as it does currently. It is the requirement of the Management Company to appoint and outsource Administration tasks to HSBC Securities Services (Ireland) DAC.

行政管理人將繼續擔任與目前相同之角色及職責。指派及委託 HSBC Securities Services (Ireland) DAC 行使行政管理人之職務係管理公司之要求。

## 3) Distribution

經銷

Following the Proposed Appointment, the following entities will be the Distributors of the Funds:-

於擬議指派後，下列實體將擔任本基金之經銷商：

- First Sentier Investors International (IM) Limited;
- First Sentier Investors (UK) Funds Limited;
- FSI HK;

- First Sentier Investors (Singapore);
- First Sentier Investors (US) LLC; and
- First Sentier Investors (Australia) IM Ltd.

Further, FSI Ireland will have the ability to act as a Distributor in its role as a UCITS management company. The role and duties of the Distributors will remain the same after the Proposed Appointment.

此外，FSI Ireland 將能基於其 UCITS 管理公司之角色擔任經銷商。於擬議指派後，經銷商之角色及職責將維持不變。

For an illustration of the differences between the current organisational structure of the material service providers of the Company and the proposed organisational structure with effect from the Proposed Appointment and the Delegation Change, please refer to Appendix I to this Notice.

有關本公司重要服務供應商之現行組織架構與擬議指派及委任變生效後組織架構間之差異之說明，請參閱本通知附件一。

The Prospectus (and the Supplement for Hong Kong Investors to the Prospectus (the “**Hong Kong Supplement**”) and the product key facts statements (“**KFS**”) of the Funds) will also be updated to reflect the Proposed Appointment and the Delegation Change, where relevant.

公開說明書及產品重要事實聲明（下稱「**KFS**」）亦將更新以反映相關之擬議指派及委任變更（餘略）。

In particular, from the Effective Date, the Investment Management Fees currently paid by the Company to FSI HK in its role of the Company’s current Investment Manager will instead be paid to FSI Ireland in its role of the Company’s Management Company. The Investment Management Fees will be renamed as the “**Management Fee**”. There will be no increase in this fee from its present rate in respect of the Funds. After the Proposed Appointment and the Delegation Change, FSI Ireland shall pay out of the Management Fee the fees of the Investment Managers, and FSI HK will pay the fees of First Sentier Investors (Singapore) out of the fees received from FSI Ireland. As such, there will be no change in the overall fees payable by investors as a result of the Proposed Appointment and the Delegation Change.

特別是，自生效日起，本公司目前支付予擔任本公司現行投資經理 FSI HK 之投資經理費，將改為支付予擔任本公司管理公司之 FSI Ireland。投資管理費將更名為「管理費」。與本基金目前之費用相比，該費用不會增加。於擬議指派及委任變更後，FSI Ireland 將自管理費中支付投資經理費，且 FSI HK 將自 FSI Ireland 收取之費用中支付 First Sentier Investors (Singapore) 之費用。因此，投資人應支付之總費用將不會因擬議指派及委任變更而變動。

From the Effective Date, the sales charge currently payable to the Investment Manager upon subscriptions for Shares will be paid to FSI Ireland. The maximum sales charge of 5% of the amount subscribed in a particular Class will remain unchanged. Further, the discretionary switching fee of up to 1% of the Net Asset Value of the Shares to be exchanged, which is currently payable to the Investment Manager, will be paid to FSI Ireland. The maximum switching fee rate will remain unchanged.

自生效日起，目前於申購股份時應支付予投資經理之銷售費用將改為支付予 FSI Ireland。特定類股最高為申購金額 5% 之申購手續費將維持不變。此外，目前應給付予投資經理之最高為交換股份淨資產價值 1% 之全權委託轉換費，將改為支付予 FSI Ireland。最高轉換費率維持不變。

The Company and the Funds will bear the costs associated with the Proposed Appointment and the Delegation Change, which include:-

本公司及本基金將負擔予擬議指派及委任變更相關之費用，其中包括：

- legal costs associated with obtaining regulatory authorisation in relation to the Proposed Appointment and the Delegation Change;  
關於取得與擬議指派及委任變更相關之監管授權之法律費用；
- legal costs associated with changes to the Company's Material Contracts; and  
與本公司重大合約變更相關之法律費用；及
- updating and obtaining regulatory approval of the Company's updated Prospectus (and, for Hong Kong, the Hong Kong Supplement and the KFS of the Funds authorised by the SFC) and other regulatory documents.  
更新本公司更新後之公開說明書及其他監管文件並取得主管機關核准（餘略）。

The costs that the Company and the Funds will bear are estimated to be EUR 85,000 and will be allocated among the Funds in proportion of their fund size.

本公司及本基金將負擔之費用預估為85,000歐元，並將依基金規模之比例分配。

## **B) Change in dealing arrangements and investor service model in Asia**

亞洲之交易安排及投資人服務模式變更

Currently, investors may subscribe, redeem, switch and transfer Shares in the Funds (collectively, the “**Dealing Requests**”) with the required anti-money laundering documentation to the Administrator or to FSI HK (in its role as Hong Kong representative). 目前，投資人得於提供行政管理人或 FSI HK（就其香港代表之角色）所需之洗錢防制文件後以申購、買回、轉換及轉讓各基金股份（合稱為「交易請求」）。

In order to standardise the dealing arrangements and investor service model of funds managed by First Sentier Investors globally, the Administrator has appointed HSBC Institutional Trust Services (Asia) Limited (“**HSBC HK**”) as agent pursuant to a new Administration Agreement whereby HSBC HK will work in conjunction with the Administrator to manage the receipt and processing of Dealing Requests from investors, as well as to handle and address investor's enquiries.

為在全球使First Sentier Investors所管理之基金之交易安排及投資人服務模式標準化，行政管理人已根據新的行政管理協議指派HSBC Institutional Trust Services (Asia) Limited（「**HSBC HK**」）為代理機構，HSBC HK將與行政管理人合作，以管理投資人交易請求之收受及處理，並處理及解決投資人之詢問。

As such, from the Effective Date, FSI HK will no longer accept Dealing Requests from investors in the Funds. Investors will need to submit their Dealing Requests (and, where applicable, any required supporting documentation) to HSBC HK at 3/F, Tower 2 & 3, HSBC Centre, 1 Sham Mong Road, Kowloon, Hong Kong or electronically in such format or method as shall be agreed in writing in advance with the Administrator or HSBC HK instead.

基此，自生效日起，FSI HK 將不再接受各基金投資人之交易請求。投資人需向HSBC HK（3/F, Tower 2 & 3, HSBC Centre, 1 Sham Mong Road, Kowloon, Hong Kong）或以電子方式提交交易請求（以及（如適用）任何所需之證明文件），而其格式或方法應為行政管理人或HSBC HK事前以書面同意者。

Save for the change of entity in receiving and handling the Dealing Requests (and, where applicable, application documents) from investors, there will be no other changes to the existing dealing arrangements of the Funds (including the dealing deadline, dealing frequency, as well as payment period for application monies and redemption proceeds).

除收受及處理投資人之交易請求 ( 以及 ( 如適用 ) 申請文件 ) 之實體變更外，各基金現有之交易安排 ( 包括交易截止日期、交易頻率以及申請款項及買回款項之支付期限 ) 將不會有其他變動。

From the Effective Date, investors who deal directly with FSI HK should contact HSBC HK for enquiries in respect of the Funds, while FSI HK, in its capacity as the Hong Kong Representative will continue to handle complaints in respect of the Funds from investors.

自生效日起，與FSI HK直接交易之投資人應聯繫HSBC HK查詢各基金之事宜，而FSI HK將以香港代表之身份繼續處理投資人有關各基金之申訴。

HSBC HK's contact details are as follows: -

HSBC HK之聯絡資料如下：

Address: HSBC Institutional Trust Services (Asia) Limited  
3/F, Tower 2 & 3, HSBC Centre  
1 Sham Mong Road  
Kowloon, Hong Kong  
Tel no: (852) 2269 2571  
Fax no: (852) 3409 1277  
Email address: [firstsentierqueries@hsbc.com](mailto:firstsentierqueries@hsbc.com)

地址：HSBC Institutional Trust Services (Asia) Limited  
3/F, Tower 2 & 3, HSBC Centre  
1 Sham Mong Road  
Kowloon, Hong Kong  
電話號碼：(852) 2269 2571  
傳真號碼：(852) 3409 1277  
電子郵件地址：[firstsentierqueries@hsbc.com](mailto:firstsentierqueries@hsbc.com)

The legal and administrative expenses in connection with changes set out in this point B will be borne by the Manage.

B點所述變更之相關法律及行政費用將由管理公司承擔。

**C) Clarification of Investment Policies and Sustainable Finance Disclosure Regulation ("SFDR") disclosures of the following Stewart Investors Funds - Stewart Investors Asia Pacific and Japan Sustainability Fund\*, Stewart Investors Asia Pacific Leaders Sustainability Fund, Stewart Investors Asia Pacific Sustainability Fund, Stewart Investors European Sustainability Fund, Stewart Investors Global Emerging Markets Leaders Fund, Stewart Investors Global Emerging Markets Sustainability Fund, Stewart Investors Indian Subcontinent Sustainability Fund, Stewart Investors Worldwide Leaders Sustainability Fund, and Stewart Investors Worldwide Sustainability Fund ("the Stewart Investors Funds").**

下列盈信投資基金 ( **Stewart Investors Funds** ) 之投資政策說明及永續金融揭露規範 ( **SFDR** ) 之揭露—盈信全球新興市場領先基金 ( **Stewart Investors Global Emerging Markets Leaders Fund** ) 、盈信世界領先可持續發展基金 ( **Stewart Investors Worldwide Leaders Sustainability Fund** ) ( 下稱「盈信投資基金」 )

SFDR entered into force in the European Union on 10 March 2021 with aim of harmonising transparency with regards to the integration of sustainability risks and consideration of adverse sustainability impacts, the provision of sustainability-related information.

SFDR於2021年3月10日於歐盟生效，其旨在協調永續發展風險整合、永續發展負面影響之考量以及永續發展相關資訊提供之透明度。

However, on 6 April 2022, the European Commission adopted a regulation supplementing the SFDR specifying further details regarding the presentation of information in pre-contractual documents, on websites and in periodic reports (“**SFDR Level 2 rules**”). The SFDR Level 2 rules applied from 1 January 2023. In November 2022, the Company’s Funds clarified Prospectus disclosures in order to meet this deadline.

然而，於2022年4月6日，歐盟執委會採納了一項補充SFDR之法規，詳細說明有關締約前之文件、網站及定期報告中資訊呈現之更多細節（「**SFDR第2級規範**」）。SFDR第2級規範自2023年1月1日起適用。本公司基金為符合時限，已於2022年11月完成公開說明書揭露之說明。

In order to provide greater clarity in respect of SFDR Level 2 rules, the Company will further revise the Prospectus disclosures with respect to the Stewart Investors Funds to better align with the content and presentation requirements prescribed in the SFDR Level 2 rules. These updates will be found with enhancement and/or clarification to the investment policies of the Stewart Investors Funds, as well as enhanced SFDR disclosures for the Stewart Investors Funds in Appendix 9 of the revised Prospectus. In particular, the disclosures on the quality and sustainability assessments (and the methods of assessment), the positive environmental and social outcomes assessed by the Investment Manager, engagement policy, as well as policy with respect to harmful and controversial products, services and practices in relation to the Stewart Investors Funds will be enhanced and clarified.

為更清楚說明SFDR第2級規範之事項，本公司將進一步修訂有關盈信投資基金公開說明書之揭露，以更切合SFDR第2級規範之內容及呈現要求。此等更新將反映於修訂後之公開說明書中盈信投資基金投資政策之強化及/或說明，以及修訂後之公開說明書附錄9中對盈信投資基金 SFDR 揭露之提升。特別是關於盈信投資基金之品質及永續性評估（以及評估方法）、投資經理所評估之正面環境及社會結果、議合政策以及有關危害性及爭議性產品、服務及實務之揭露。

As a result of this, the sustainable objective will form part of the investment policy of each of the Stewart Investors Funds.

因此，永續目標將成為每檔盈信投資基金投資政策之一環。

Notwithstanding the amendments, there are no material changes to the sustainability objectives or environmental or social characteristics which are integrated into the investment analysis and assessment criteria for selecting companies in each of the Stewart Investors Funds’ portfolios. There will be no change to the manner in which the Stewart Investors Funds are currently being managed as a result of such updates.

不論該等修訂，永續目標或環境或社會特徵並未發生重大變化，此等目標或特徵已納入盈信投資基金各投資組合中篩選公司之投資分析及評估標準中。此等更新並未改變盈信投資基金目前之管理方式。

**D) Addition of climate change adaptation as an environmental characteristic promoted by First Sentier Responsible Listed Infrastructure Fund\***

(略譯)

Climate change adaptation (by preventing or reducing the risk of the adverse impact of the current climate and the expected future climate on people, nature or assets) will be included as an environmental characteristic promoted by this Fund in Appendix 9 of the Prospectus. This is captured under the United Nations Sustainable Development Goals #13 "Climate Action". This change is a clarification and does not change the way in which the Fund is managed.

(略譯)

**E) SFDR – Inclusion of disclosure surrounding fossil gas and nuclear energy exposure in line with the Level 2 disclosure requirements**

**SFDR**—根據第2級揭露要求納入有關化石燃料及核能相關之揭露

In order to provide greater clarity in respect of the SFDR Level 2 disclosure requirements that became effective as at 20 February 2023, we will incorporate nuclear energy and fossil gas disclosures in Appendix 9 of the Prospectus.

為更清楚說明2023年2月20日生效之SFDR第2級揭露要求，我們將於公開說明書附錄9中納入對核能及化石燃料揭露。

**F) Changes to the board of Directors of the Company**

本公司董事會成員之變更

The board of Directors have reviewed their composition in line with future strategy, skills, tenure etc... and the following changes have taken place.

董事會業就未來之策略、技能、任期.....等面向審查其組成成員，並進行以下變更。

Kevin Molony stepped down as an Independent Non-Executive Director and the Permanent Chair of the Company's Board, with effect from 8 February 2023.

Kevin Molony辭去獨立非執行董事兼本公司董事會常任主席之職務，並自2023年2月8日起生效。

Terry Yodaiken stepped down as a Non-Independent Non-Executive Director of the Company with effect from 3 March 2023.

Terry Yodaiken辭去本公司非獨立非執行董事職務，並自2023年3月3日起生效。

Bronwyn Wright also stepped down as a Non-Independent Non-Executive Director of the Company with effect from 21 April 2023.

Bronwyn Wright亦辭去本公司非獨立非執行董事職務，並自2023年4月21日起生效。

Noel Ford was appointed as an Independent Non-Executive Director of the Company and the Permanent Chair of the Company's Board with effect from 21 April 2023.

Noel Ford被任命為本公司獨立非執行董事兼本公司董事會常任主席，並自2023年4月21日起生效。

Michael Morris was appointed as an Independent Non-Executive Director of the Company with effect from 8 February 2023.

Michael Morris被任命為本公司獨立非執行董事，並自2023年2月8日起生效。

Kerry-Leigh Baronet was appointed as a Non-Independent Non-Executive Director of the Company with effect from 3 March 2023.

Kerry-Leigh Baronet被任命為本公司非獨立非執行董事，並自2023年3月3日起生效。

Laura Chambers was appointed as a Non-Independent Non-Executive Director of the Company with effect from 21 April 2023.

Laura Chambers被任命為本公司非獨立非執行董事，並自2023年4月21日起生效。

#### G) Updates to leverage usage for First Sentier Asian Quality Bond Fund

首源亞洲優質債券基金（本基金之配息來源可能為本金）槓桿使用之更新

As set out in the Prospectus, the current exposure of the Bond Funds to leverage varies between low exposure, medium exposure and high exposure.

如公開說明書所述，債券基金目前之槓桿曝險分為低曝險、中曝險及高曝險。

Further, the revised Prospectus will be updated to reflect the following leverage change for the First Sentier Asian Quality Bond Fund:

此外，修訂後之公開說明書將進行更新，以反映首源亞洲優質債券基金（本基金之配息來源可能為本金）如下之槓桿變動：

Fund Name 基金名稱	Exposure as at 31 March 2022 截至 2022 年 3 月 31 日之曝險	Exposure as at 31 December 2022 自 2022 年 3 月 31 日之曝險
First Sentier Asian Quality Bond Fund 首源亞洲優質債券基金（本基金之配息來源可能為本金）	Low 低	Medium 中

This is caused by market fluctuations and does not represent a change in the way in which the Fund is currently being managed. Further details can be found in the revised Prospectus.

此係由市場波動所引起，並不代表本基金目前之管理方式發生變化。更多詳情請參閱修訂後之公開說明書。

#### H) Updates to description of benchmarks for certain Equity Funds

某些股票型基金指標敘述之更新

The countries that are considered Emerging Markets and developed markets change regularly. Therefore, to ensure accuracy, we will be updating the description of benchmarks for certain Equity Funds to omit numerical references to developed markets and Emerging Markets countries (which will now collectively be referred to as “investible markets” instead).

新興市場及已開發市場之國家認定會定期發生變化。因此，為求精確，我們將更新某些股票型基金之指標說明，以略提已開發市場及新興市場國家（現合稱為「可投資市場」）之數目。

By way of an example, for FSSA Asian Growth Fund, the current description of its benchmark “The MSCI AC Asia ex Japan Index captures large and mid-cap representation across 2 of 3 developed markets countries (excluding Japan) and 9 Emerging Markets countries in the Asia”, will be amended to “The MSCI AC Asia ex Japan Index captures

*large and mid-cap representation across all investible markets in the Asia region (excluding Japan).”*

舉例而言，對首域盈信亞洲增長基金而言，其當前之指標說明為「*MSCI AC 亞洲(日本除外)指數涵蓋三分之二之已開發市場國家(不包括日本)及9個亞洲新興市場國家之大型及中型股*」，將修改為「*MSCI AC 亞洲(日本除外)指數涵蓋亞太地區(不包括日本)所有可投資市場之大型及中型股*。」

Details of the amendments can be found in the revised Prospectus. For the avoidance of doubt, the amendments to the benchmark disclosures are for clarification purpose only, and do not amount to any change to the performance comparison benchmarks adopted by the relevant Equity Funds.

修訂之詳情可參閱修訂後之公開說明書。為免疑義，對指標揭露之修訂僅供說明之用，並未對相關股票基金所採用之績效比較指標產生任何變化。

**I) Updates to the list of Regulated Markets under “APPENDIX 5 – REGULATED MARKETS”**

「附錄5—受規範市場」下受規範市場列表之更新

Appendix 5 of the Prospectus contains a list of Regulated Markets. In light of the invasion of Ukraine and sanctions placed on Russia, we will be removing Russia from the list of Regulated Markets.

公開說明書附錄5包含受規範市場列表。鑑於俄羅斯入侵烏克蘭及其所受之制裁，我們將俄羅斯自受規範市場之名單中移除。

As a correction, we are also removing all references to ICMA (being an association rather than an exchange or market) from the list of Regulated Markets.

作為一項更正，我們亦將受規範市場列表中所有提及 ICMA 之內容刪除（其係協會，而非交易所或市場）。

**J) Updates to the list of sub-custodians under “APPENDIX 8 – DELEGATES OF THE DEPOSITARY”**

更新「附錄8—基金存託機構之受任人」中次保管機構之名單

Appendix 8 of the Prospectus contains a list of the sub-custodians that the Depositary has delegated safekeeping responsibilities to. The list will be updated to remove the sub-custodians for Bermuda and Russia.

公開說明書附錄 8 載有基金存託機構將保管職責委任之次保管機構列表。此列表將更新以刪除百慕達及俄羅斯之次保管機構。

Furthermore, the sub-custodian for Columbia will be updated from “tau Securities Services Colombia S.A. Sociedad Fuduciaria.” to “Santander CACEIS Colombia S.A. Sociedad Fiduciaria” and the sub-custodian for Turkey will be updated from “HSBC Bank A.S.” to “Turk Ekonomi Bankasi A.S.” respectively.

此外，哥倫比亞之次保管機構將從「tau Securities Services Colombia SA Sociedad Fuduciaria」更新為「Santander CACEIS Columbia SA Sociedad Fiduciaria」，土耳其之次保管機構將從「HSBC Bank AS」更新為「Turk Ekonomi Bankasi AS」。

Finally, “Landsbankinn hf.” will be added as the sub-custodian for Iceland.

最後，將納入「Landsbankinn h.f」作為冰島之次保管機構。

**K) Reduction in the investment management fees (to be re-designated as the management fee on 30 November 2023) (“Management Fee”) for Classes I, III, IV and VI Shares of Stewart Investors Global Emerging Markets Leaders Fund**

降低盈信全球新興市場領先基金III、IV股份類別之投資管理費（將於2023年11月30日重新命名為管理費）（「管理費」）（餘略）

The Management Fee for Classes I, III, IV and VI Shares of Stewart Investors Global Emerging Markets Leaders Fund will be reduced as follows:

盈信全球新興市場領先基金III、IV股份類別之管理費將下調如下：

Share Class 股份類別	Current Management Fee (as a % of the Fund's Net Asset Value) per annum 當前之年度管理費（本基金淨資產之 a%）	Management Fee with effect from 30 November 2023 (as a % of the Fund's Net Asset Value) per annum 自 2023 年 11 月 30 日生效之年度管理費（本基金淨資產之 a%）
Class I	1.45%	1.30%
Class III III 股份類別	0.80%	0.65%
Class IV IV 股份類別	1.45%	1.30%
Class VI	0.80%	0.65%

Classes IV and VI Shares of the Fund are not available to the public in Hong Kong.  
(略譯)

**L) Other miscellaneous, taxation, enhancement, clarificatory, administrative, general regulatory and cosmetic updates to the Prospectus.**

公開說明書之其他雜項、稅務、強化、說明、行政、一般監理及潤飾之更新。

**2) What is the impact?**

影響為何？

In respect of the above changes:

關於上述之變更：

- save as otherwise disclosed in points A and B above, there will be no other change in the operation and/or manner in which the Funds are being managed;  
除上述 A 及 B 點另有揭露者外，基金之運作及/或管理方式將不會有其他變更；
- save as otherwise disclosed above, there are no other effects on existing investors in the Funds as a result of the changes;  
除上述另有揭露者外，變更對現有各基金投資人無其他影響
- there will be no change to the features and the risk applicable to the Funds, and there will be no change to the level of fees or costs in managing the Funds; and  
各基金之特徵及適用之風險不會發生變化，管理各基金之費用或成本水平也不會發生變化；及
- the changes will not result in any impact that might materially prejudice the rights or interests of existing investors in the Funds.  
此等變更將不會對基金現有投資人之權利或利益有任何重大損害之影響。

### 3) When will these changes take place?

此等變更將於何時生效？

Unless otherwise specified above, the above changes will take effect on or around 30 November 2023.

除上述另有明訂外，前述變更將於 2023 年 11 月 30 日或鄰近日期生效。

### 4) Alternatives available to investors

投資人可選擇之其他方案

If you do not agree with the changes set out in points A and B, you may voluntarily redeem your Shares, or switch your Shares (free of switching fee) for Shares in another available Fund of the Company on any Dealing Day until 10 a.m. Irish time / 5 p.m. Hong Kong time (being the dealing cut-off time) or such other dealing cut-off time as the intermediaries may impose on the last Dealing Day prior to the Effective Date, which is expected to be 29 November 2023, in accordance with the terms of the offering documents (please refer to the section of the Prospectus entitled “**BUYING, SELLING AND SWITCHING SHARES – Redeeming Shares**” (and, for Hong Kong investors, the section of the Hong Kong Supplement entitled “**Application, Redemption and Switching Procedures**”) for further details). **There are currently no redemption fees levied on the redemption of Shares in the Company.** For Hong Kong investors, such Fund(s) into which your Shares are switched must be authorised by the SFC for offering to the public in Hong Kong.\*\*\*

如不同意上述 A 及 B 點之變更，您得自願買回您的股份，或於愛爾蘭時間上午 10 點/香港時間下午 5 點（即交易截止時間），或其他中介機構可能在生效日前之最後一個交易日（預計為 2023 年 11 月 29 日）之任何交易日之交易截止時間前，依據募集文件之條款（請參閱公開說明書標題為「購入、出售及轉換股份 - 買回股份」乙節以獲取更多詳細資訊）轉換您的股份（免轉換費）至本公司之其他可得之基金。目前，本公司股份之買回不收取買回費。（餘略）

Please note that some sub-distributors, paying agents, correspondent banks or intermediaries might charge redemption, switching and/or transaction fees or expenses directly at their own discretion.

請注意，部分次經銷商、付款代理人、通匯往來銀行或中介機構可能自行決定直接收取買回、轉換及/或交易費用或開支。

If you take no action, you will continue to be a Shareholder in the Company.

如您不採取行動，您將繼續作為本公司之股東。

If you are unsure about what action to take, you should contact a professional adviser.

如您不確定應採取何種行動，您應聯絡專業顧問。

### 5) Where can I find more information?

可於何處尋得更多資訊？

We will issue an updated Prospectus to reflect the changes described in this letter.

本公司將發行更新版之公開說明書，以反映本函所載之變更。

Additionally, in Hong Kong, the Hong Kong Supplement and the KFS of the Funds authorised by the SFC will be updated accordingly.

（略譯）

The updated Prospectus, any impacted local prospectus supplement (including the Hong Kong Supplement and the KFS of the Funds authorised by the SFC) will be available on or around 30 November 2023 and on the following website: [www.firstsentierinvestors.com](http://www.firstsentierinvestors.com).\*\*

更新後之公開說明書、任何受影響的當地公開說明書補充文件 (略譯) 將得於 2023 年 11 月 30 日或鄰近日期免費提供，以及自我們的網站 [www.firstsentierinvestors.com](http://www.firstsentierinvestors.com)\*\* 取得。

Additionally, Hong Kong investors may obtain the updated Prospectus, Hong Kong Supplement and KFS of the Funds authorised by the SFC at the office of the Hong Kong Representative stated below on request free of charge.

(略譯)

If you have any questions about the contents of this letter please contact your investment advisor/consultant or your relationship manager at the Investment Manager or First Sentier Investors' Client Services Team or Asia Client Services Team as set out below.

如您對於本函之內容有任何疑問，請聯繫台端之投資顧問/專業顧問，或台端於投資經理人之關係經理，或首源投資之客戶服務團隊或亞洲客戶服務團隊，聯絡資訊如下所述。

#### 6) How can I contact First Sentier Investors?

如何聯繫首源投資？

You can contact us if you have any questions in relation to this letter:

如您對於本函有任何疑問，可聯繫本公司：

by telephone: + 353 1 635 6780

致電：

by email: [firstsentierqueries@hsbc.com](mailto:firstsentierqueries@hsbc.com)

電子郵件：

or in writing: HSBC Securities Services (Ireland) Ltd, 1 Grand Canal Square, Grand Canal Harbour, Dublin 2, Ireland

或書面：

Hong Kong Shareholders may also contact the Company's Hong Kong Representative:

by telephone: +852 2846 7566

by email: [infoHK@firstsentier.com](mailto:infoHK@firstsentier.com)

or in writing: First Sentier Investors (Hong Kong) Limited,  
25th Floor, One Exchange Square,  
8 Connaught Place, Central, Hong Kong

(略譯)

Singapore Shareholders may also contact the Company's Singapore Representative:

by telephone: +65 6580 1390

by email: [infoSG@firstsentier.com](mailto:infoSG@firstsentier.com)

or in writing: First Sentier Investors (Singapore)  
79 Robinson Road, #17-01, Singapore 068897

(略譯)

**Information for Austrian Investors:**

The Prospectus, the key information documents (KIDs), the Articles of Association, and the current annual and the semi-annual reports of the Company are also available free of charge from the Austrian Facility in paper form. Erste Bank der oesterreichischen Sparkassen AG, Am Belvedere 1, 1100 Vienna, Austria.

( 略譯 )

**Information for Belgian investors**

The Prospectus, the key information documents (KIDs) the Articles of Association, and the current annual and semi-annual reports of the Company are also available free of charge from CACEIS Belgium SA, Avenue du Port 86C, box 320, 1000 Brussels, Belgium. Belgian investors may also send any queries to CACEIS Belgium SA, Avenue du Port 86C, box 320, 1000 Brussels, Belgium.

( 略譯 )

**Information for German Investors:**

The Prospectus, the key information documents (KIDs), the Articles of Association, and the annual and semi-annual reports of the Company are also available free of charge from the German Facility in paper form. GerFIS – German Fund Information Service UG (Haftungsbeschränkt), Zum Eichhagen 4, 21382 Brietlingen, Germany.

( 略譯 )

**Information for Swiss Investors:**

The Prospectus, the key information documents (KIDs), the Articles of Association, and the annual and semi-annual reports of the Company may be obtained free of charge from the representative and paying agent in Switzerland, BNP Paribas, Paris, succursale de Zurich, Selnaustrasse 16, 8002 Zurich

( 略譯 )

\*As of the date of this letter, this Fund is not authorised by the SFC and is therefore not available to the public in Hong Kong.

( 略譯 )

\*\*This website has not been reviewed or authorised by the SFC and not available to Hong Kong investors.

( 略譯 )

\*\*\*SFC authorisation is not a recommendation or endorsement of the Company's Funds, nor does it guarantee the commercial merits of the Funds or their performance. It does not mean the Funds are suitable for all investors nor is it an endorsement of their suitability of any particular investor or class of investors.

( 略譯 )

Yours sincerely,

誠摯地，

Handwritten signature of Michael Morris in cursive script.

Michael Morris, Director  
for and on behalf of  
First Sentier Investors Global Umbrella Fund plc

董事

代表

首源投資環球傘型基金有限公司

## Appendix 1

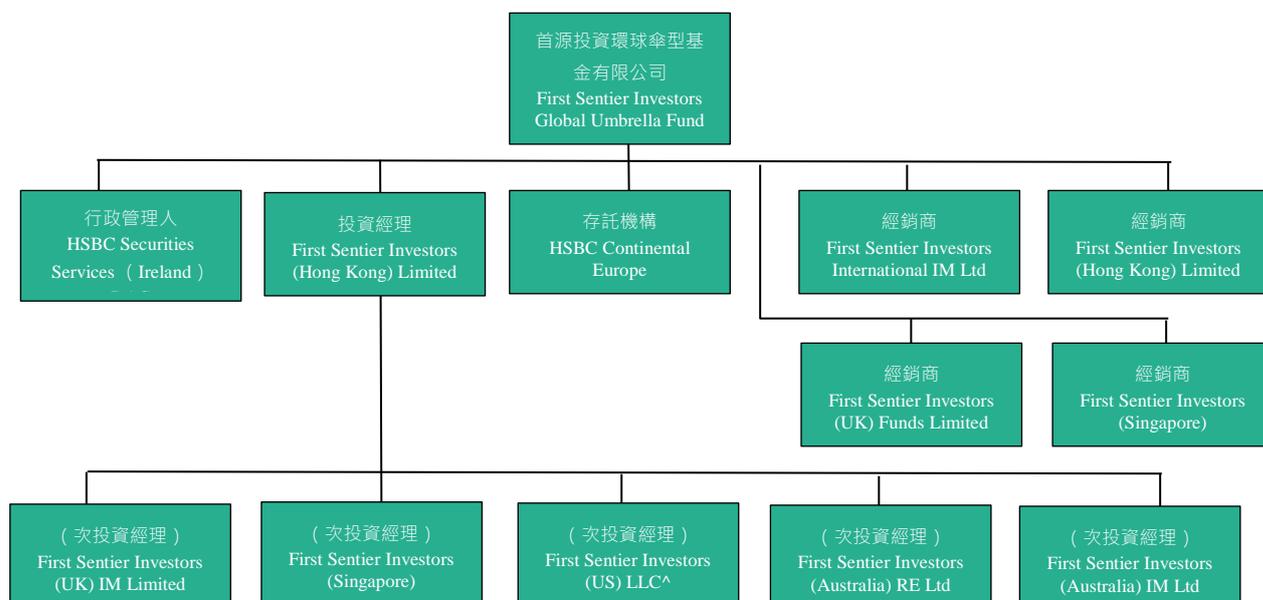
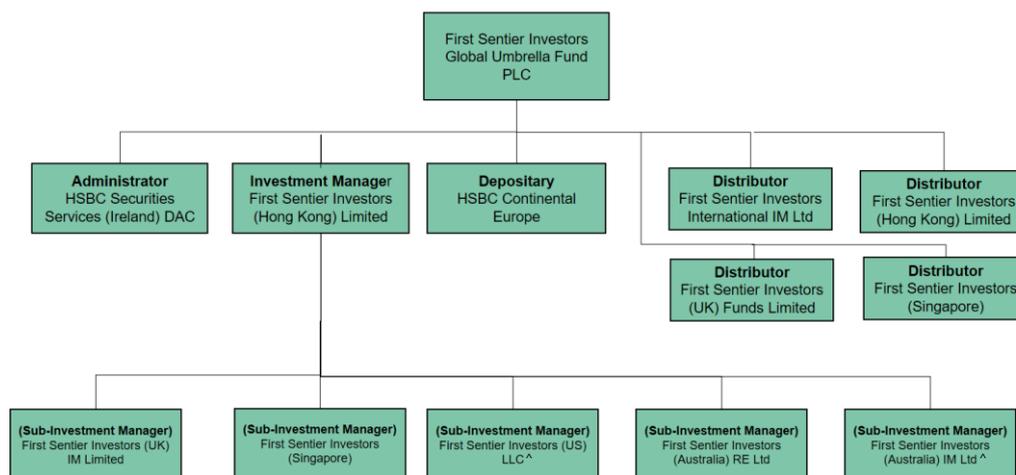
附件一

### Comparison of the organisation structure of the material service providers of the Company prior to and after the Proposed Appointment and the Delegation Change

擬議指派及委任變更前後本公司重要服務供應商組織架構之比較

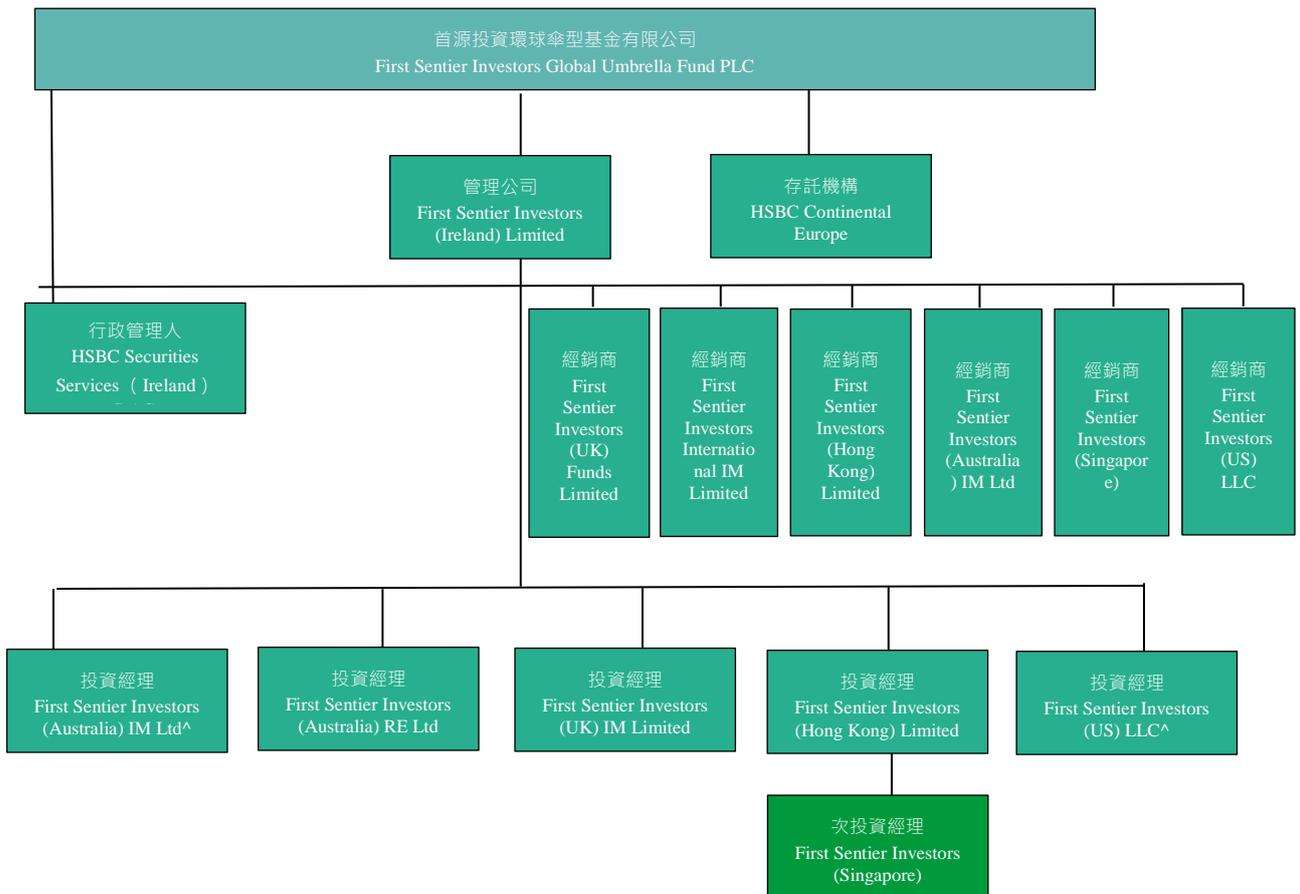
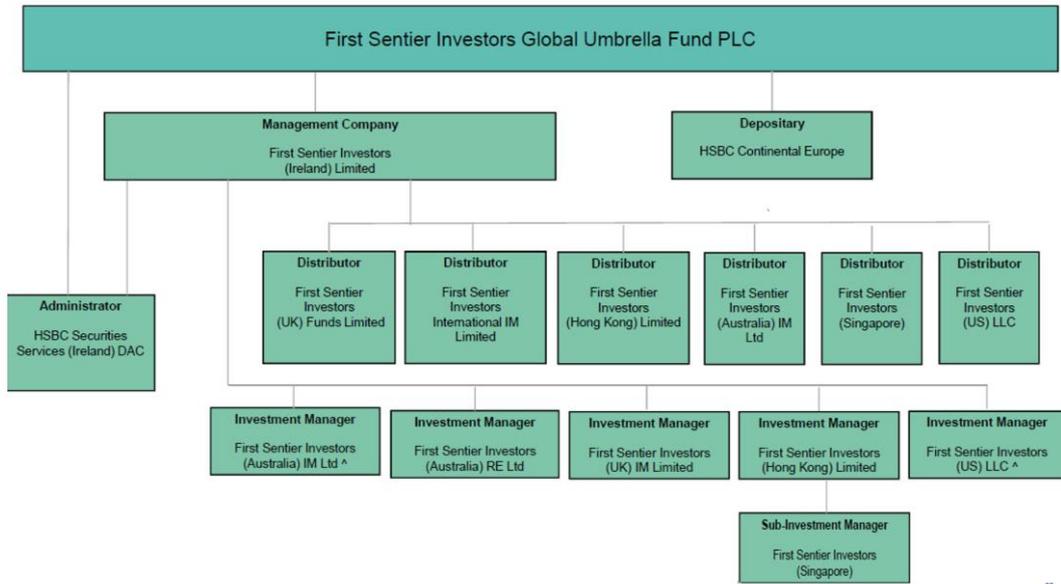
#### Prior to the Effective Date

生效日前



From the Effective Date onwards

自生效日起



^ First Sentier Investors (Australia) IM Ltd and First Sentier Investors (US) LLC currently do not and, upon the Proposed Appointment and the Delegation Change, will not be appointed to manage the assets of any of the Funds authorised by the SFC.

(略譯)