

(中譯文)

DWS 投資

可變資本投資公司 (SICAV)

2, Boulevard Konrad Adenauer

L-1115 Luxembourg

盧森堡商業及公司登記處之登記編號：B 86.435

(下稱「**本基金**」)

致 DWS 投資亞洲中小型子基金股東之通知

依章程第 16.2 條及銷售公開說明書——般規定第 15.B.條之規定，DWS 投資董事會已決議自 **2023 年 10 月 27 日**（下稱「清算程序起始日」）起，對子基金 DWS 投資亞洲中小型（下稱「**本子基金**」）啟動清算程序。

I. 作成決定之理由

本子基金之資產淨值已降至低於董事會及投資經理認為本子基金得以符合全體股東最佳利益並具經濟效益方式運作及管理之最低水平。

II. 條款

為確保公平對待本子基金之所有投資人，自本公告於 **2023 年 10 月 27 日** 發布後，將停止股份之申購及買回。於 **2023 年 10 月 26 日** 之接受下單截止時間（歐洲中部時間下午 4 時）以前所接獲之下單將予受理。依照本子基金股份交易之接受下單規則（=> 1 日未知價），申購與買回之最後交易日期將為 **2023 年 10 月 27 日**。

自 **2023 年 10 月 30 日** 起，投資經理將開始對本子基金之投資組合進行出清，故而本子基金基於流動性目的以及為保障股東之最佳利益，將偏離其投資政策。

與本次清算有關之費用（例如：外部稽核費用、編製及申報必要清算文件之費用、公告費用等）將由管理公司負擔。

出脫投資組合之交易費用將由本子基金負擔。

一旦投資經理完成所有資產部位之清算並扣除所有費用後，將於 **2023 年 11 月 29 日**（下稱「**清算程序完結**」）開始向股東發放清算款項。

不論銷售公開說明書對於股份發行與買回之交割日有任何規定，在關閉本子基金之過程中，發放清算款項之交割日最多將為三個銀行營業日。

本子基金股東未領取之清算款項將於清算程序完結後儘速存入盧森堡信託局(Caisse de Consignation)，相關股東可於 30 年內隨時向盧森堡信託局請領清算款項。於該 30 年期間屆滿後，該等款項將成為盧森堡大公國之財產。

盧森堡，2023 年 10 月

DWS 投資 SICAV

DWS Invest
Société d'Investissement à Capital Variable (SICAV)
2, Boulevard Konrad Adenauer
L-1115 Luxembourg
R.C.S. Luxembourg: B 86.435
(the „**Fund**“)

NOTICE TO THE SHAREHOLDERS
of the Sub-Fund DWS Invest Asian Small/Mid Cap

In accordance with Article 16.2 of the articles of incorporation and Article 15.B. of the sales prospectus - general section, the Board of Directors of DWS Invest has resolved to place the sub-fund DWS Invest Asian Small/Mid Cap (the “Sub-Fund”) in liquidation as of **October 27, 2023** (the “Date of Placement in Liquidation”).

I. Reason for the decision

The net asset value of the Sub-Fund has decreased to an amount considered by board of directors, as well as the investment manager, to be the minimum level for the Sub-Fund to be operated and managed in an economically efficient manner in the best interest of all shareholders.

II. Terms

To ensure an equal treatment of all investors of the Sub-Fund, the subscription and redemption of shares will each be ceased upon publication of this announcement on **October 27th, 2023**. Orders received until the order acceptance deadline (4 o'clock p.m. CET) on **October 26th, 2023** will be considered. Due to the order acceptance rule for cap stock activities of the Sub-Fund (=> 1 Day Forward-Pricing) the last trade date for subscriptions and redemptions will be **October 27th, 2023**.

From **October 30th, 2023**, the investment manager will start with the liquidation of the Sub-Fund's portfolio and the Sub-Fund, therefore, deviates from its investment policy for liquidity purposes and to protect the shareholders' best interests.

Costs related to this liquidation (e.g. costs for external audit, costs incurred for the preparation and filing of required liquidation documents, publication costs) will be borne by the Management Company.

Transaction costs for unwinding of the portfolio will be borne by the sub-fund.

Once the liquidation of all asset positions has been completed by the investment manager and all costs have been considered, the pay-out of the liquidation proceeds to the shareholders will take place on **November 29th, 2023** (the “closure of the liquidation process”).

Notwithstanding any value date arrangement for the issue and redemption of shares specified in the sales prospectus, in the course of the closure of the Sub-Fund, the proceeds of the liquidation will be paid out with a value date of up to three bank business days.

Liquidation proceeds not collected by the shareholders of the Sub-Fund will be deposited, as soon as possible after the closure of the liquidation process, with the Grand Duchy of Luxembourg's Caisse de Consignation, from which the relevant shareholders can claim them at any time within 30 years, after which they will become the property of the Grand Duchy of Luxembourg.

Luxembourg, October 2023
DWS Invest, SICAV