

重大訊息通知

駿利亨德森遠見基金

重大事項變更，包括新的登記及過戶代理人

行政事項變更

2021 年間，本公司擬針對駿利亨德森遠見基金（下稱「JHHF」）之行政管理進行部分變更，後頁的預定時間表列出各項時程。謹希望本「重要訊息通知」文件協助 台端了解這些變更及其對 台端的影響。

本公司擬採取以下措施：

▪ 變更登記及過戶代理人

本公司在此過程中將盡力減低投資人的不便，但仍有必要提供新帳號與聯絡資訊予 台端。

為何進行這些變更？

本公司經全面檢視第三方服務協議後，決定進行此等變更，希望透過這些變更提供客戶更為便捷且更具效益的服務，各種基金產品線與各地區之間亦能更加一致。此等變更目的在盡量降低客戶對於盧森堡與愛爾蘭基金兩種產品線之經驗上的差異。

投資人因此等行政上的整併措施而獲得之益處，包括：

- 客戶建立關係及開戶流程：本公司的盧森堡與愛爾蘭基金兩種產品線僅需單一開戶流程。
- 簡化法令遵循程序：客戶進行盧森堡與愛爾蘭基金之開戶時將僅需提供一次身分證明文件即符合「認識你的客戶」及洗錢防制之規定。
- 客戶服務：針對客戶服務提供單一聯絡窗口，包括跨境查詢、交易與評價，並有機會轉成單一對帳單。
- 產品一致性：共通的股份級別命名規則及共通的文件格式，使基金交易更為簡便。

整體而言，預期客戶服務的水準將維持不變或提升。

請注意，前述變更不代表管理子基金之基金經理人、子基金之管理方式或子基金之風險程度會發生變動。

2021

預定時間表

請注意，此預定時間表有可能異動。倘須異動時，本公司將於事前通知。

● 2021 年 3 月上旬

寄發法令所規定之公開說明書更新(包含新過戶代理人之資訊)相關股東通知書。

● 2021 年 4 月

更新後公開說明書之生效日。

● 2021 年 4 月 2 日至 5 日

因 4 月 2 日至 5 日為復活節假期，故為標準基金非交易日－於此期間移轉予新過戶代理人。最後交易日為 2021 年 4 月 1 日。

● 2021 年 4 月 6 日

新過戶代理人之生效日期。

● 2021 年 4 月上旬

變動完成後通知。

變更的內容？

職務與相關當事單位之變更

此相關變更將於取得主管機關核可後，依據第 3 頁的時間表進行。變更將如何實行？

對分銷商與投資人，該變更僅須通知即可。本「重要訊息通知」文件為對客戶通知之一部份，俾使客戶知悉變更且於必要時預作準備。

職務

變更前

變更後

過戶代理人

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職務與相關當事單位之變更（續）

變更對客戶有何影響？

投資人與分銷商

洗錢防制影響－過戶代理人得對少數客戶要求額外文件。凍結帳戶不會於生效日解凍帳戶及移轉。

申請表格－本公司將提供於生效日起，新開戶所需之新申請表格（及交易表格）。

支票－現階段不接受以支票進行申購，此規定於生效日後不會變更。

配息付款日－此將維持不變。配息行事曆將應客戶要求提供。本基金將不再有現金股息最低給付金額之限制。

投資人帳號－於 2021 年 4 月生效日前，將依過戶代理人保存之客戶聯絡資訊紀錄通知新的帳戶號碼。

合併帳戶－移轉完成後，投資人得選擇指示過戶代理人合併其盧森堡及愛爾蘭帳戶。合併帳戶將使客戶收到一份合併報告，詳細說明其於兩個傘型基金系列中之投資情況。

基金級別轉換－不同傘型基金（JHHF 及 JHCF 子基金）間不得為之。

投資人持股－投資人的持股不會因此移轉有變動。

銀行帳戶－申購及贖回之銀行帳戶將不會變動。

新聯絡資訊－登記與過戶代理人將有新的聯絡資訊。自生效日起，客戶應使用第 4 頁表格所列的 IFDS 聯絡資訊。

報告傳送機制－取得對帳單及成交單之詳細相關資訊將於後續提供。

指示交易－本公司將不再提供電話交易服務。交易應透過郵件、SWIFT 及傳真受理。書面或傳真指示應由經授權且載於過戶代理人留存紀錄之正式有權簽章人名單上之簽名。

SWIFT 地址－生效日起應使用的新 SWIFT 資訊將於後續提供。

STP 自動化交易－STP/SWIFT 自動化交易將變更傳遞路徑。參加人得直接向其自動化交易合作對象提出詢問。

交易－生效日前後將如常進行交易，且交易時間及交割時間將不受影響。

貨幣非交易日－倘貨幣非交易日發生於交易交割週期中，本公司將不再遞延交割期間。惟倘貨幣非交易日發生於交易交割日當天，本公司將繼續遞延該貨幣交割至次一營業日。

淨值公告－JHHF 所有基金的淨值將會繼續公布在網站 www.janushenderson.com。

對帳單及成交單－生效日後，此等單據將由 IFDS 出具。對帳單郵寄日期將不會變更，但將微調該等報告之格式。

評價時點－評價時點將無變動。

零庫存帳戶－倘該帳戶於生效日前 12 個月內仍有交易活動，生效日時將與其他所有帳戶一併轉移。

銷售通路費－本公司將就所有通路服務費設定美金 50 元（或與其等值之貨幣）之最低支付門檻。

準備作業

分銷商與投資人可能希望在生效日前收到文件／詳細資訊後，開始進行變更的準備作業。本公司會將寄送下列文件予台端以供參考：

- 生效日前的更多的行政資訊詳細內容。
- 移轉前後之對帳單希望本文件對台端有所助益，若有任何疑問，請聯絡台端的客戶關係經理。

重大訊息通知

本公司的辦事處

欲取得有關前述變更或本公司產品的更多訊息，請洽 台灣當地的銷售辦事處或造訪本公司的網址 www.janushenderson.com。

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KEEPING YOU IN THE PICTURE

Janus Henderson Horizon Fund

KEY CHANGES INCLUDING NEW REGISTRAR AND TRANSFER AGENT

Administrative changes

During 2021 we propose making some changes to the administration of Janus Henderson Horizon Fund ('JHHF'). The timeline opposite sets out the schedule. We hope that this 'Keeping you in the picture' document will help guide you through the changes and how they may affect you.

We intend to undertake the following:

- A change of Registrar and Transfer Agent

Throughout the process we will seek to minimise disruption to investors but it will be necessary for us to provide you with new account numbers and contact details.

Why are we making these changes?

These changes follow a comprehensive review of our third party servicing arrangements. By making these changes, we aim to deliver a more streamlined and efficient service for clients, with greater consistency across fund ranges and jurisdictions. The changes are intended to minimise the differences between our Luxembourg and Irish fund ranges in terms of client experience.

Investor benefits of consolidating the administration include:

- Client on-boarding and account opening: single account opening process for both our Luxembourg and Irish fund ranges.
- Simplified regulatory compliance: clients will only need to provide identification documents once to fulfil Know Your Client and AML requirements for account opening for both Luxembourg and Irish funds.
- Client servicing: single point of contact for client service covering cross-border queries, dealing and valuations, with the potential to move to single statements.
- Product harmonisation: common share class naming conventions and common look to documentation, making it simpler to deal across the two ranges.

Overall we expect that client service will be maintained or enhanced.

Please note that the changes will not mean a change in the fund managers who manage the sub-funds, the way the sub-funds are managed or the risk profiles of the sub-funds.




2021

Planned timeline

Please note that the planned timeline is subject to change. Should any change be necessary, we will of course inform you in advance.

•

Early March 2021

Regulatory shareholder notification of updated prospectus including details of new Transfer Agent.

•

April 2021

Updated prospectus effective date.

•

2 - 5 April 2021

Standard fund dealing holiday due to Easter holiday on 2 and 5 April - migration to new Transfer Agent over this period. Last dealing day is 1 April 2021.

•

6 April 2021

Effective Date for new Transfer Agent.

•

Early April 2021

Post change notification.

What is changing?

Changes to functions and parties

The changes will take place according to the timeline on page 3, subject to regulatory approval. How will the changes be implemented?

For distributors and investors, the changes only require notification. This “Keeping you in the picture” document forms part of the notification being provided to clients to allow them to be aware of and, where necessary, prepare for the changes.

Function	Current	New
Transfer Agent	RBC Investor Services Bank S.A. 14 Porte de France L-4360 Esch-sur-Alzette Grand Duchy of Luxembourg Tel: +352 2605 9601 Fax: +352 2460 9937 csjanushenderson@rbc.com	International Financial Data Services Ltd Bishops Square Redmond’s Hill Dublin 2 Ireland Tel: +353 1 242 5453 Fax: + 353 1 562 5537 JanusHendersonTACS@statestreet.com State Street Global Services 68/F Two International Finance Centre 8 Finance Street Central Hong Kong Tel: +852 2978 9277 Fax: +852 2103 0266

Changes to functions and parties (continued)

How will the changes affect clients?

Investors and distributors

AML impact – The Transfer Agent may require additional documentation from a small number of clients. Frozen accounts will not be unfrozen on transition on the Effective Date.

Application forms – We will provide new application forms (and dealing forms) which will be required for the opening of new accounts from the Effective Date.

Cheques – Cheques are not currently acceptable for making subscriptions and this will not change after the Effective Date.

Dividend payment dates – These will remain unchanged. A dividend calendar will be made available on request. We will no longer operate de-minimis arrangements for cash dividend payments.

Investor account number – New register account numbers will be assigned and notified to clients shortly before the Effective Date in April 2021 to the contact details held on record by the Transfer Agent.

Merging of Accounts - Once the migration is complete, investors will have the option of instructing the Transfer Agent to merge their Luxembourg and Irish accounts. Merging accounts will allow clients to receive a consolidated suite of reports detailing their investments in two fund umbrellas.

Share Class Exchanges – are not permitted between the separate umbrellas (JHHF and the JHCF sub-funds)

Investor shareholdings – There will be no change to shareholdings as a result of the migration.

Bank accounts – There will be no changes to bank accounts for subscriptions and redemptions.

New contact details – There will be new contact details for the Registrar and Transfer Agent. From the Effective Date, clients should use the IFDS contact details in the table on page 4.

Report delivery mechanism – further details on how to access statements and contract notes will follow.

Instructing trades – We will no longer offer a telephone dealing service. Trades are accepted via post, SWIFT and fax. Written and faxed instructions must be signed by an approved person who appears on the formal Authorised Signatory List held on record with the Transfer Agent

SWIFT address – New SWIFT detail for use from the Effective Date will follow.

STP Trading – Routing will change for STP/SWIFT trading. Participants may direct any questions to their routing partner.

Dealing – Dealing will continue as usual around the Effective Date with no impact on dealing or settlement times.

Currency Holidays – Where a currency holiday occurs during the settlement cycle of a trade, we will no longer extend the settlement period accordingly. However, where a currency holiday occurs on the settlement date of a trade, we will continue to extend the settlement of that currency until the next business day.

Publication of prices – Prices for JHHF funds will continue to be available on the website www.janushenderson.com.

Statements and contract notes – These will be issued from IFDS for periods after the Effective Date. There will be no change of statement mailing dates but there will be minor formatting changes to these reports.

Valuation points – There will be no change to valuation points.

Zero account balances – These will be transitioned across on the Effective Date along with all other accounts providing the accounts have been previously active in the preceding 12 months.

Trailer Fees - We will apply a payment de-minimis of USD\$50 (or equivalent value for other currencies) for all trailer fee payments.

Actions to prepare

Distributors and Investors may wish to start undertaking certain actions in preparation of the upcoming changes when documents / details are available ahead of the Effective Date. We will send you the following documents for your attention:

- Further administrative details prior to the Effective Date
- Opening and closing valuation statement

We hope you find this communication helpful, should you have any further questions please contact your relationship manager.

KEEPING YOU IN THE PICTURE

Our offices

For further information on these changes or our products, please contact your local sales office or visit our website at www.janushenderson.com

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