

致 安本標準系列基金銷售機構暨投資人：

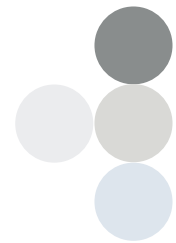
主旨：謹通知本公司總代理之安本標準基金董事會提議對安本標準基金及其子基金做出變動，而該些變動將自 2022年8月1日（以下簡稱「生效日」）起生效。本文件將詳述主要的變動內容。

說明：

- 一、 將安本標準-環球創新股票基金及安本標準-北美小型公司基金，轉換為推廣 ESG 基金，以及其投資目標及政策連結的相關更動。
- 二、 安本標準 - 亞太股票基金更名並轉為永續基金，以及其基金投資目標及政策的相關更動。*(截至本通知書日期為止，亞太股票基金依境外基金管理辦法，轉換為永續基金（包含更名）之申請，尚待金管會之授權核可。後續將再通知投資人生效日及變動獲得核准的時間。)*
- 三、 本文件所述的各项變動，擬將反映於 2022 年 8 月 1 日生效的新版公開說明書當中。最新版本之公開說明書中譯本，會在更新完成後上傳至「境外基金資訊觀測站 - 資訊公告平台」供下載，網址：<http://announce.fundclear.com.tw/MOPSFundWeb/>。

安本標準證券投資信託股份有限公司

中華民國 111 年 06 月 30 日



**THIS DOCUMENT IS IMPORTANT AND REQUIRES YOUR IMMEDIATE ATTENTION. IF IN DOUBT, PLEASE SEEK PROFESSIONAL ADVICE.**

30 June 2022

Dear Shareholder,

### **ABERDEEN STANDARD SICAV I**

We are writing to inform you of the changes that the Board of Directors of Aberdeen Standard SICAV I (the “**Company**”) proposes to make to the Company and its sub-funds (the “**Funds**”) with effect from 1 August 2022 (the “**Effective Date**”). The principal proposed changes are detailed in this letter.

Capitalised terms used in this letter shall have the same meaning ascribed to them in the latest version of the prospectus of the Company unless the context otherwise requires.

### **Promoting ESG and Sustainable Funds**

abrdn, through its Investment Managers, is committed to continuously reviewing its range of Funds to ensure that they continue to meet client requirements as they develop and change over time. Recently there has been identified a clear increase in client focus on Environmental, Social and Governance (“**ESG**”) issues and wider sustainability.

Integrating ESG considerations is a key part of abrdn’s investment process for all of the Funds and as part of this process we are now looking to make changes to the following Funds to either (i) further promote the Fund’s ESG characteristics or (ii) shift to being run as a sustainable fund.

#### **1. Conversion of Aberdeen Standard SICAV I – Global Innovation Equity Fund and Aberdeen Standard SICAV I – North American Smaller Companies Fund into Promoting ESG Funds and associated changes to their investment objective and policy**

Aberdeen Standard SICAV I - Global Innovation Equity Fund and Aberdeen Standard SICAV I – North American Smaller Companies Fund will be reshaped as Promoting ESG Funds (as defined hereafter) with effect from the Effective Date. “**Promoting ESG Funds**” incorporate negative screening based on ESG factors and societal norms. In addition, securities with the highest ESG risks are screened out via abrdn’s ESG House Score along with quantitative and qualitative inputs and asset class specific screens. These Funds will also have explicit portfolio ESG targets as set out in their new investment objectives and policies.

Alongside these changes, the investment objective of Aberdeen Standard SICAV I – Global Innovation Equity Fund will also be updated as a point of consistency to state that at least 70%, as opposed to the previously stated two thirds, of the Fund’s assets will be invested in equities and equity related securities of companies of all sizes whose business models are focused on and/or benefit from all forms of innovation. In addition, it will also be clarified that these securities may also be listed on stock exchanges of Emerging Markets which was already the case, as supported by the specific risk factors highlighted for the Fund in the Prospectus, but is now being specifically highlighted in the investment policy as well.

Similarly, the investment objective and policy of Aberdeen Standard SICAV I – North American Smaller Companies Fund will also be updated as a point of consistency to state that 70%, as opposed to the previously stated two thirds, of the Fund’s assets will be invested in small capitalisation equities and equity related securities. These equities and/or related securities will not just be of companies in the United States of America (USA) but will also include Canada,

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which was always the case given the North American focus of the Fund as also evidenced by its name but is now being specifically clarified. The focus of the Fund remains the USA, therefore drawing out that assets invested in companies listed, incorporated or domiciled in Canada are not expected to exceed 20%. Furthermore, the definition of small capitalisation companies will be updated to mean stock having a market capitalisation less than the 10th percentile stock of the overall US market, viewed as a proxy for how small capitalisation companies are defined within the benchmark.

For the avoidance of doubt, under Taiwan regulations, the Promoting ESG Funds are not classified as ESG funds under the order of the Financial Supervisory Commission dated January 11, 2022 (Reference No. Jin-Guan-Zheng-Tuo-Zi No. 1100365536).

## 2. Name change and conversion of Aberdeen Standard SICAV I – Asia Pacific Equity Fund into a Sustainable Fund and associated changes to its investment objective and policy

Aberdeen Standard SICAV I – Asia Pacific Equity Fund will, with effect from the Effective Date, be reshaped as a Sustainable Fund (as defined hereafter) and will also be renamed as “Aberdeen Standard SICAV I – Asia Pacific Sustainable Equity Fund”. A “**Sustainable Fund**” incorporates all the features of the Promoting ESG Funds noted above, but also applies certain additional screening. Sustainable Funds also screen out a greater number of securities with the highest ESG risks via abrdn’s ESG House Score along with quantitative and qualitative inputs and asset class specific screens.

To align with the applicable Asia Pacific Sustainable Equity Investment Approach, the investment objective and policy of Aberdeen Standard SICAV I – Asia Pacific Equity Fund will be updated to clarify that 90%, as opposed to the previously stated two-thirds, of the Fund’s assets will be invested in equities and equity-related securities of listed, incorporated or domiciled in Asia Pacific countries (excluding Japan) or companies that derive a significant proportion of their revenues or profits from Asia Pacific countries (excluding Japan) operations; or have significant proportion of their assets there.

It is also worth noting that the aforementioned Funds may now use financial derivative instruments for investment purposes (other than for hedging and/or to manage foreign exchange risks), even if it is expected that their use will be very limited.

The aforesaid changes do not alter the risk profile of the Funds.

The following table summarises the Funds that are changing at the Effective Date and the category of change. It also sets out the SFDR classification and estimated rebalancing costs as further described below.

Current Name	New Name	Estimated rebalancing costs (% of AUM as at 30 April 2022)	Category	SFDR Classification	
				Current	New
Aberdeen Standard SICAV I – Asia Pacific Equity Fund	Aberdeen Standard SICAV I – Asia Pacific Sustainable Equity Fund	0.01%	Sustainable	Article 6	Article 8
Aberdeen Standard SICAV I – Global Innovation Equity Fund	No Change	No rebalancing costs chargeable	Promoting ESG	Article 6	Article 8
Aberdeen Standard SICAV I – North American Smaller Companies Fund	No Change	No rebalancing costs chargeable	Promoting ESG	Article 6	Article 8

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Details of the new investment objective and policy for the aforementioned Funds can be found in Appendix 1 and the investment approach documents can be found at [www.abrdn.com](http://www.abrdn.com).

As of the date of the notice, the authorisation of Asia Pacific Equity Fund as a Sustainable Fund (including fund name change) in accordance with the Regulations Governing Offshore Funds is awaiting FSC's authorisation. Investors would be notified of the effective date as and when the conversion is authorised.

### **Portfolio Rebalancing**

Shareholders are informed of the associated cost impact of the rebalancing of the portfolios with the estimated amounts as set out in the table above (including spreads, commissions and taxes). Such costs will be borne by each Fund. Any additional cost associated with the rebalancing of the portfolios (such as legal, regulatory or administrative costs) will be borne by a group company of abrdn plc.

Please note that it is intended that the Funds will become fully compliant with their new investment mandates as described above (the "**New Investment Mandates**") as soon as practicably possible but in all cases this is not expected to exceed 1 calendar month, following the Effective Date (the "**Rebalancing Period**"). Shareholders should be aware that during the Rebalancing Period, the Investment Manager may need to adjust the relevant Fund's portfolio and asset allocation in order to implement the New Investment Mandates. As such, the Funds may not fully adhere to the New Investment Mandates applicable to the relevant Funds during this brief period.

### **SFDR**

All of the Funds impacted will from the Effective Date be classified as Article 8 under the EU's Sustainable Finance Disclosure Regulation ("**SFDR**"), with those changing classification, changing from Article 6 as shown in the table above. Article 8 funds are those that promote social and/or environmental characteristics, invest in companies that follow good governance, give binding commitments but do not have a sustainable investment objective.

### **Rights of Shareholders**

Shareholders affected by the changes mentioned above who feel that they no longer meet their investment requirements may request redemption or switching of their Shares, free of any applicable redemption and/or subscription charges, until 13:00 hours Luxembourg time on 29 July 2022.

### **Prospectus**

The changes detailed in this letter will be reflected in a new prospectus to be dated 1 August 2022. The relevant Key Investor Information Documents will be updated accordingly.

Your Board of Directors accepts responsibility for the accuracy of the information contained in this letter. To the best of the knowledge and belief of your Board of Directors (who have taken reasonable care to ensure this is the case) the information contained in this letter is in accordance with the facts and does not omit anything likely to affect the importance of such information.

If you have any questions or would like any further information please contact us at our registered office or, alternatively, call one of the following Shareholder Service Centre helplines:

**Asia +65 6395 2700**

Your Board of Directors believes that the changes are fair and reasonable and are in the best interests of Shareholders.

Yours faithfully,

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Ian Boyland  
For and on behalf of  
the Board of Directors – Aberdeen Standard SICAV I

## Appendix 1 - New investment objectives and policies

### 1. ABERDEEN STANDARD SICAV I – ASIA PACIFIC SUSTAINABLE EQUITY FUND

#### Investment Objective and Policy

The Fund's investment objective is long term total return to be achieved by investing at least 90% of the Fund's assets in equities and equity-related securities of companies listed, incorporated or domiciled in Asia Pacific countries (excluding Japan) or companies that derive a significant proportion of their revenues or profits from Asia Pacific countries (excluding Japan) operations; or have significant proportion of their assets there.

The Fund may invest up to 30% of its net assets in Mainland China equity and equity-related securities, although only up to 20% of its net assets may be invested directly through available QFI regime, the Shanghai-Hong Kong and Shenzhen-Hong Kong Stock Connect programme or by any other available means.

The Fund is actively managed. The Fund aims to outperform the MSCI AC Asia Pacific ex Japan Index (USD) benchmark before charges.

The benchmark is also used as a reference point for portfolio construction and as a basis for setting risk constraints, but does not incorporate any sustainable criteria.

In order to achieve its objective, the Fund will take positions whose weightings diverge from the benchmark and may invest in securities which are not included in the benchmark. The investments of the Fund may deviate significantly from the components of and their respective weightings in the benchmark. Due to the active and sustainable nature of the management process, the Fund's performance profile may deviate significantly from that of the benchmark.

The Fund promotes environmental and social characteristics but does not have a sustainable investment objective.

Investment in all equity and equity-related securities will follow abrdn's "Asia Pacific Sustainable Equity Investment Approach".

Through the application of this approach the Fund targets an ESG rating that is equal to or better, and a meaningfully lower carbon intensity, than the benchmark.

This approach utilises abrdn's equity investment process, which enables portfolio managers to qualitatively identify and focus investment in sustainable leaders and improvers. Sustainable leaders are viewed as companies with the best in class ESG credentials or products and services which address global environmental and societal challenges, whilst improvers are typically companies with average governance, ESG management practices and disclosure with potential for improvement.

To complement this research, the abrdn ESG House Score is used to quantitatively identify and exclude those companies exposed to the highest ESG risks. Additionally, abrdn apply a set of company exclusions which are related to the UN Global Compact, Norges Bank Investment Management (NBIM), Weapons, Tobacco, Gambling, Thermal Coal, Oil & Gas and Electricity Generation. More detail on this overall process is captured within abrdn's "Sustainable Equity Investment Approach", which is published at [www.abrdn.com](http://www.abrdn.com) under "Sustainable Investing".

Engagement with external company management teams is used to evaluate the ownership structures, governance and management quality of those companies in order to inform portfolio construction.

The Sustainable Equity Investment Approach reduces the benchmark investable universe by a minimum of 20%.

Financial derivative instruments, money-market instruments and cash may not adhere to this approach.

The Fund may utilise financial derivative instruments for hedging and/or investment purposes, or to manage foreign exchange risks, subject to the conditions and within the limits laid down by applicable laws and regulations. The use of derivatives for hedging and/or investment purposes is expected to be very limited, mainly in those cases where there are significant inflows into the Fund so that cash can be invested while the Fund's investments in equity and equity related securities is maintained.

Where Share Classes are denominated in a currency other than the Base Currency of the Fund, a currency specific benchmark will typically be used for performance comparison purposes. This will either be the benchmark of the Fund expressed in another currency or a different currency specific benchmark with similar characteristics. Benchmarks applicable to such Share Classes are disclosed in the relevant KIID.

**Base Currency:** US Dollars.

**Investment Manager:** Aberdeen Asset Managers Limited

**Sub-Investment Manager:** abrdn Asia Limited

**Investment Process:** Active Equities – Values Led Investing

**Investor Profile:** This Fund provides exposure to stocks across the Asia Pacific region and may be suitable for investors seeking capital appreciation opportunities through equity investments which comply with the Fund's Sustainable Investment process. Since the Fund is diversified across a number

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of markets, it may be suitable for investors who are looking for a stand-alone regional equity investment. Due to the traditionally volatile nature of share prices and the additional country and currency risks, the investor is likely to have a long-term investment horizon.

## 2. ABERDEEN STANDARD SICAV I – GLOBAL INNOVATION EQUITY FUND

### Investment Objective and Policy

The Fund's investment objective is long term total return to be achieved by investing at least 70% of the Fund's assets in equities and equity-related securities of companies of all sizes whose business models are focused on and/or benefit from all forms of innovation, listed on global stock exchanges including Emerging Markets.

The Fund looks to invest in the impact of innovation by breaking it into five pillars: How we live, How we make, How we save and spend, How we work and How we play, which are key pillars of human activity. By considering the impact of innovation via the five pillars, the Fund then looks to invest in companies that are using innovation to "improve" their businesses, "disrupt" existing businesses and "enable" innovation to occur.

The Fund is actively managed. The Fund aims to outperform the MSCI AC World Index (USD) benchmark before charges. The benchmark is also used as a reference point for portfolio construction and as a basis for setting risk constraints, but does not incorporate any sustainable criteria.

In order to achieve its objective, the Fund will take positions whose weightings diverge from the benchmark and may invest in securities which are not included in the benchmark. The investments of the Fund may deviate significantly from the components of and their respective weightings in the benchmark. Due to the active nature of the management process, the Fund's performance profile may deviate significantly from that of the benchmark.

The Fund promotes environmental and social characteristics but does not have a sustainable investment objective. Investment in all equity and equity-related securities will follow the abrdn "Global Innovation Promoting ESG Equity Investment Approach".

Through the application of this approach the Fund targets an ESG rating that is equal to or better, and a meaningfully lower carbon intensity, than the benchmark.

This approach utilises abrdn's equity investment process, which enables portfolio managers to qualitatively identify and avoid ESG laggards. To complement this research, the abrdn ESG House Score is used to quantitatively identify and exclude those companies exposed to the highest ESG risks. Additionally, abrdn apply a set of company exclusions which are related to the UN Global Compact, Controversial Weapons, Tobacco Manufacturing and Thermal Coal. More detail on this overall process is captured within abrdn's "Global Innovation Promoting ESG Equity Investment Approach", which is published at [www.abrdn.com](http://www.abrdn.com) under "Sustainable Investing".

Engagement with external company management teams is used to evaluate the ownership structures, governance and management quality of those companies in order to inform portfolio construction.

Financial derivative instruments, money-market instruments and cash may not adhere to this approach.

The Fund may utilise financial derivative instruments for hedging and/or investment purposes, or to manage foreign exchange risks, subject to the conditions and within the limits laid down by applicable laws and regulations. The use of derivatives for hedging and/or investment purposes is expected to be very limited, mainly in those cases where there are significant inflows into the Fund so that cash can be invested while the Fund's investments in equity and equity related securities is maintained.

Where Share Classes are denominated in a currency other than the Base Currency of the Fund, a currency specific benchmark will typically be used for performance comparison purposes. This will either be the benchmark of the Fund expressed in another currency or a different currency specific benchmark with similar characteristics. Benchmarks applicable to such Share Classes are disclosed in the relevant KIID.

**Base Currency:** US Dollars.

**Investment Manager:** Aberdeen Asset Managers Limited

**Sub-Investment Manager:** abrdn Inc.

**Investment Process:** Active Equities-Thematic

**Investor Profile:** This Fund provides broad access to global stock markets and may be suitable for investors seeking long term total returns through equity investments which comply with the Fund's Promoting ESG Investment process. Investors are likely to hold this Fund as a standalone global equity investment or as part of a wider diversified portfolio. Investors should have a long term investment horizon.

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### 3. ABERDEEN STANDARD SICAV I – NORTH AMERICAN SMALLER COMPANIES FUND

#### Investment Objective and Policy

The Fund's investment objective is long term total return to be achieved by investing at least 70% of the Fund's assets in small capitalisation equities and equity-related securities of companies listed, incorporated or domiciled in the United States of America (USA) or Canada or companies that derive a significant proportion of their revenues or profits from US or Canadian operations or have a significant proportion of their assets there.

Investment in companies listed, incorporated or domiciled in Canada is not expected to exceed 20%

Small capitalisation companies are defined as any stock having a market capitalisation less than the 10<sup>th</sup> percentile stock of the overall US market.

The Fund is actively managed. The Fund aims to outperform the Russell 2000 Index (USD) benchmark before charges. The benchmark is also used as a reference point for portfolio construction and as a basis for setting risk constraints, but does not incorporate any sustainable criteria.

In order to achieve its objective, the Fund will take positions whose weightings diverge from the benchmark and may invest in securities which are not included in the benchmark. The investments of the Fund may deviate significantly from the components of and their respective weightings in the benchmark. Due to the active nature of the management process, the Fund's performance profile may deviate significantly from that of the benchmark.

The Fund promotes environmental and social characteristics but does not have a sustainable investment objective. Investment in all equity and equity-related securities will follow the abrdn "North American Smaller Companies Promoting ESG Equity Investment Approach".

Through the application of this approach the Fund targets an ESG rating that is equal to or better, and a meaningfully lower carbon intensity, than the benchmark.

This approach utilises abrdn's equity investment process, which enables portfolio managers to qualitatively identify and avoid ESG laggards. To complement this research, the abrdn ESG House Score is used to quantitatively identify and exclude those companies exposed to the highest ESG risks. Additionally, abrdn apply a set of company exclusions which are related to the UN Global Compact, Controversial Weapons, Tobacco Manufacturing and Thermal Coal. More detail on this overall process is captured within abrdn's "North American Smaller Companies Promoting ESG Equity Investment Approach", which is published at [www.abrdn.com](http://www.abrdn.com) under "Sustainable Investing".

Engagement with external company management teams is used to evaluate the ownership structures, governance and management quality of those companies in order to inform portfolio construction.

Financial derivative instruments, money-market instruments and cash may not adhere to this approach.

The Fund may utilise financial derivative instruments for hedging and/or investment purposes, or to manage foreign exchange risks, subject to the conditions and within the limits laid down by applicable laws and regulations. The use of derivatives for hedging and/or investment purposes is expected to be very limited, mainly in those cases where there are significant inflows into the Fund so that cash can be invested while the Fund's investments in equity and equity related securities is maintained.

Where Share Classes are denominated in a currency other than the Base Currency of the Fund, a currency specific benchmark will typically be used for performance comparison purposes. This will either be the benchmark of the Fund expressed in another currency or a different currency specific benchmark with similar characteristics. Benchmarks applicable to such Share Classes are disclosed in the relevant KIID.

**Base Currency:** US Dollars.

**Investment Manager:** abrdn Inc.

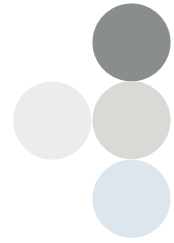
**Investment Process:** Active Equities – Values Led Investing

**Investor Profile:** This Fund gives access to stocks of small capitalisation companies in the US and may be suitable for investors seeking capital appreciation opportunities through equity investments which comply with the Fund's Promoting ESG Investment process. Although small capitalisation companies have often been associated with high returns, they also carry higher risks than blue-chip companies. Due to this additional volatility, the investor is likely to hold this portfolio as a complement to an existing core portfolio and is likely to have a long-term investment horizon.

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【中文翻譯僅供參考，若與英文版有歧異，請以英文版為準】

此乃重要文件，請立即詳閱。如有疑問，請徵詢專業建議。

2022年6月30日

致股東：

### 安本標準基金

特此通知，安本標準基金（以下簡稱「本公司」）董事會提議對本公司及其子基金（以下簡稱「基金」）做出變動，並將自2022年8月1日（以下簡稱「生效日」）起生效。本文件將詳述主要的提議變動。

除文意另有所指外，本文件所用粗體詞彙具有本公司最新版公開說明書所賦予的相同涵義。

### **推廣 ESG 與永續基金**

abrdn 透過其投資經理致力持續審視其基金範圍，確保基金能在隨時間發展與變化的同時，持續滿足客戶要求。近來發現，客戶對於環境、社會與公司治理（以下簡稱「ESG」）議題與更普遍永續性的關注度明顯提升。

結合 ESG 考量是 abrdn 所有基金投資流程的關鍵要素，而做為這項流程的其中一部分，我們現正尋求變動下列基金，以達到下列目的之一：(i) 進一步推廣基金的 ESG 特點，或 (ii) 改以永續基金的方式經營。

#### **1. 將安本標準 - 環球創新股票基金及安本標準 - 北美小型公司基金，轉換為推廣 ESG 基金，以及其投資目標及政策連結的相關更動**

安本標準 - 環球創新股票基金及安本標準 - 北美小型公司基金，將轉型為推廣 ESG 基金（如下附定義），並自生效日起開始生效。「**推廣 ESG 基金**」結合根據 ESG 因素及社會規範所進行的負面表列篩選。此外，ESG 風險最高的證券會被 abrdn 的 ESG 內部評分，以及量化與質化輸入和資產類別特定篩選汰除。此類基金亦有明確的投資組合 ESG 目標，如其新的投資目標與政策所述。

為求一致，因應此項變動，安本標準 - 環球創新股票基金的投資目標也將更新。更新後，至少 70% 的基金資產將投資在由不同規模公司所發行之股票及股票相關證券，且這些公司的商業模式乃專注在及 / 或受益於各種形式的創新。更新前的數值為三分之二的基金資產。此外，我們亦將在投資目標中敘明，投資的股票可能包含在新興市場上市之股票，此與現行做法並無不同，且已在公開說明書中的該基金特定風險因子中加以說明，唯更新後亦將於投資政策中特別敘明。

同樣的，為求一致，因應此項變動，安本標準 - 北美小型公司基金的投資目標也將更新。更新後，至少 70% 的基金資產將投資在小型的股票及股票相關證券，更新前的數值為三分之二的基金資產。投資的股票及 / 或相關證券並非全為美國（USA）公司所發行之股票，亦包含加拿大公司。此與先前做法並無不同，因該基金專注於北美市場，由該基金的名稱即可得知，唯更新後將明確敘明。該基金主要投資對象仍為美國，因此針對在加拿大上市、設立或設址的公司，投入的基金資產預期將不會超過 20%。此外，小型公司的定義將改指市值低於美國整體市場第 10 百分位數之股票，以此門檻做為小型公司在基準指標內的參考值。

### **安本標準基金**

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茲由盧森堡金融監督管理委員會（CSSF）授權並受其監管。盧森堡註冊編號 B27471。

為避免產生疑慮，根據台灣法規，推廣 ESG 基金未被歸類為金管會 2022 年 1 月 11 日法令函釋所稱之 ESG 基金（參考文號：金管證投字第 1100365536 號令）。

## 2. 安本標準 - 亞太股票基金更名並轉為永續基金，以及其基金投資目標及政策的相關更動

安本標準 - 亞太股票基金將轉型為永續基金（如下附定義），並更名為「安本標準 - 亞太永續股票基金」，變更將自生效日起開始生效。「**永續基金**」除納入上述所有推廣 ESG 基金之特點外，還會適用額外之篩選機制。永續基金還會透過 abrdn 的 ESG 內部評分，以及量化與質化輸入和資產類別特定篩選，汰除為數更多的 ESG 風險最高證券。

為與適用之亞太永續股票投資方法相符，安本標準 - 亞太永續股票基金的投資目標及政策將會更新，明訂 90% 的基金資產將投資包含在亞太國家（日本除外）上市、設立或設址之公司、營收或獲利有顯著比例來自亞太國家（日本除外）之公司，或資產有顯著比例位於該地區之公司發行之股票及股票相關證券，更改前之數值為三分之二的基金資產。

特別提醒，即使預期使用情況極為有限，上述基金現可出於投資目的（非避險及／或管理外匯風險）使用金融衍生工具。

上述之變更未改變此類基金之風險概況。

下表總結生效日起有所變更的基金，以及變更的類別。同時也列出了 SFDR 分類以及估計再平衡成本。

目前名稱	新名稱	估計再平衡成本（截至 2022 年 4 月 30 日為止資產管理規模 %）	類別	SFDR 分類	
				目前分類	新分類
安本標準 - 亞太股票基金	安本標準 - 亞太永續股票基金	0.01%	永續	第 6 條	第 8 條
安本標準 - 環球創新股票基金	無變更	無再平衡成本計費	推廣 ESG	第 6 條	第 8 條
安本標準 - 北美小型公司基金	無變更	無再平衡成本計費	推廣 ESG	第 6 條	第 8 條

上述基金之新投資目標及政策請參考附件 1，上述投資方法文件請上 [www.abrdn.com](http://www.abrdn.com) 查詢。

截至本通知書日期為止，亞太股票基金依境外基金管理辦法，轉換為永續基金（包含更名）之申請，尚待金管會之授權核可。後續將再通知投資人生效日及變動獲得核准的時間。

### 投資組合再平衡

特此告知股東投資組合再平衡的相關成本影響，估計值如上表所示（包含利差、佣金和稅）。該成本將由各基金承擔。任何與投資組合再平衡相關的額外成本（例如法律、監管或行政成本）將由 abrdn plc 的集團公司承擔。

請注意，此類基金擬於適切可行的條件下儘快全面遵守本文件前述的新投資指令（以下簡稱「**新投資指令**」），但在任何情況下不得晚於生效日後 1 個日曆月（以下簡稱「**再平衡期間**」）。股東應注意，投

#### 安本標準基金

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資經理可能必須於再平衡期間內調整相關基金的投資組合和資產配置，以落實新投資指令。因此，值此短暫期間，基金可能會不完全符合相關基金所適用的新投資指令。

## **SFDR**

自生效日起，所有受影響的基金將因上述更動之類別，被分類為歐盟《永續金融揭露規範》（以下簡稱「**SFDR**」）第 8 條下的基金，而非原本的第 6 條，如上表所示。第 8 條基金為推廣社會和/或環境特點、投資遵循良好治理之公司、提供有約束力之承諾，但不具備永續投資目標的基金。

## **股東權利**

即日起至 2022 年 7 月 29 日盧森堡時間 13:00 時止，因上文所述變動影響的股東，如認為無法再滿足其投資需求，則可申請贖回或轉換股份，且不需繳交任何適用的贖回及/或認購費用。

## **公開說明書**

本文件所述的各项變動，將反映於 2022 年 8 月 1 日的新版公開說明書當中。相關的關鍵投資者資料文件將據此更新。

董事會對本文件所載資料的準確性負責。本董事會（經採取合理措施以確保內容之正確性後）相信，本文件所載資料皆屬實，且未遺漏任何可能影響此類資料重要性的內容。

如您有任何疑問或欲獲知進一步詳情，請逕向我們的註冊辦事處聯絡，或者致電以下股東服務中心電話：

**亞洲：+65 6395 2700**

本董事會相信，本相關變動不僅公平合理，且能符合股東的最佳利益。

此致



Ian Boyland

為及代表  
董事會 - 安本標準基金

## **安本標準基金**

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## 附件 1 - 新投資目標及政策

### 1. 安本標準 - 亞太永續股票基金

#### 投資目標及政策

基金的投資目標為將至少 90% 的基金資產投資於以下公司之股票和股票相關證券而獲得的長期總回報：於亞太國家（日本除外）上市、成立或設址的公司；營收或利潤之顯著比例來自亞太國家（日本除外）營運，或淨資產之顯著比例位於亞太國家（日本除外）的公司。

該基金最多可將 30% 的淨資產投資於中國大陸股票及股票相關證券，唯透過可用的 QFI 制度、滬港通及深港通計劃或任何其他可用方式所直接投資的淨資產最多不可超過淨資產之 20%。

基金係主動管理。基金旨在超越基準指標 MSCI AC 亞太日本除外指數（美元）的費用前績效。

此基準指標亦用作投資組合建構的參考點，及設定風險限制的基礎，但不包含任何永續標準。

基金為達成目標，將持有權重偏離基準指標的部位，且可能投資未包含於基準指標的證券。基金的投資內容可能顯著偏離其在基準指標中的項目與相應權重。由於管理流程的主動和永續性質使然，基金的績效概況可能與基準指標顯著偏離。

基金彰顯環境與社會特點，但未有永續投資目標。

所有針對股票與股票相關證券的投資將遵循 abrdn「亞太永續股票投資方法」。

藉由使用此一投資方法，該基金追求達成與基準指標相等或更佳的 ESG 評等，以及顯著低於基準指標的碳濃度。

此方法運用了 abrdn 的股票投資流程，讓投資組合經理能以質化方式辨識並將投資集中在永續領域中的領先者或改進者。永續領域中的領先者指獲頒同類最佳 ESG 憑證，或提供處理全球有關環境與社會挑戰的產品或服務的公司，而改進者一般則指擁有平均水準的治理及 ESG 管理作為和相關揭露，並具有改進潛力的公司。

作為此研究的補充，abrdn 的 ESG 內部評分可用來以量化方式辨識並排除有最大 ESG 曝險的公司。此外，abrdn 還採用一套與聯合國全球盟約、挪威主權基金（NBIM）、武器、菸品、博奕、燃煤、石油和天然氣及發電相關的公司排除標準。關於整體流程的更多細節可參閱 abrdn 的「永續股票投資方法」，公開發布於 [www.abrdn.com](http://www.abrdn.com) 的「永續投資」。

可接觸外部公司管理團隊評量該些公司的所有權結構、治理和管理品質，作為投資組合建構的參考。

該永續股票投資方法至少令基準可投資集合減少 20%。

金融衍生工具、貨幣市場工具與現金可不遵守本方法。

基金可為對沖及／或投資目的或管理外匯風險而使用金融衍生工具，惟須受相關法律及法規所訂定的條件及限制之規限。為避免與／或投資目的而使用衍生性商品的機會預期將極為有限，主要於大量資金流入基金時使用，以在維持該基金之股票與股票相關證券投資之際同時進行現金投資。

股份類別非以基金的基本貨幣計價者，一般進行績效比較時會使用特定貨幣基準指標。可能是以另一種貨幣表示的基金基準指標，或特徵類似的不同特定貨幣基準指標。這些股份類別的適用基準指標揭露於相關的 KIID。

**基本貨幣：**美元。

**投資經理：**Aberdeen Asset Managers Limited

**次投資經理：**abrdn Asia Limited

**投資流程：**主動股票 - 價值導向投資

**投資人概況：**此基金可投資於全亞太地區的股票，可能適合尋求透過投資符合本基金永續投資流程的股票標的追求資本增值機會的投資人。由於該基金的標的分散在數個市場，可能適合尋求單獨區域股票投資的投資人。因股價的波動天性使然，加上額外的國家與貨幣風險，投資人可能持有長期的投資期。

### 2. 安本標準 - 環球創新股票基金

#### 投資目標及政策

基金的投資目標為至少投資 70% 的基金資產於不同規模公司所發行之股票及股票相關證券，且這些公司的商業模式乃專注在及／或受益於各種形式的創新，並於包括新興市場在內的全球股市交易所上市，藉以獲得長期總回報。

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茲由盧森堡金融監督管理委員會（CSSF）授權並受其監管。盧森堡註冊編號 B27471。

本基金期望投資創新的影響力，並將其細分為五大主軸：我們生活的方式、我們如何製造、我們如何儲蓄及消費、我們如何工作，以及我們如何娛樂，這些主軸乃人類活動的主要面向。本基金從五大主軸考量創新的影響力，欲投資於運用創新「改進」事業、「破壞」既有事業，以及「致能」創新發生的公司。

基金係主動管理。基金旨在超越基準指標 MSCI AC 世界指數（美元）的費用前績效。此基準指標亦用作投資組合建構的參考點，及設定風險限制的基礎，但不包含任何永續標準。

基金為達成目標，將持有權重偏離基準指標的部位，且可能投資未包含於基準指標的證券。基金的投資內容可能顯著偏離其在基準指標中的項目與相應權重。由於管理流程的主動性質使然，基金的績效概況可能與基準指標顯著偏離。

基金彰顯環境與社會特點，但未有永續投資目標。  
所有股票與股票相關證券的投資都將遵循 abrdn「環球創新推廣 ESG 股票投資方法」。

藉由使用此一投資方法，該基金追求達成與基準指標相等或更佳的 ESG 評等，以及顯著低於基準指標的碳濃度。

此方法應用了 abrdn 的股票投資流程，讓投資組合經理能以質化方式辨識並避開 ESG 表現落後的標的。作為此研究的補充，abrdn 的 ESG 內部評分可用來以量化方式辨識並排除有最大 ESG 曝險的公司。此外，abrdn 還應用一套與聯合國全球盟約、爭議武器、煙草製造與燃料煤相關的公司排除標準。整體流程的更多細節可參閱 abrdn 的「環球創新推廣 ESG 股票投資方法」，公開發布於 [www.abrdn.com](http://www.abrdn.com) 的「永續投資」。

可接觸外部公司管理團隊評量該些公司的所有權結構、治理和管理品質，作為投資組合建構的參考。

金融衍生工具、貨幣市場工具與現金可不遵守本方法。

基金可為對沖及／或投資目的或管理外匯風險而使用金融衍生工具，惟須受相關法律及法規所訂定的條件及限制之規限。為避險與／或投資目的而使用衍生性商品的機會預期將極為有限，主要於大量資金流入基金時使用，以在維持該基金之股票與股票相關證券投資之際同時進行現金投資。

股份類別非以基金的基本貨幣計價者，一般進行績效比較時會使用特定貨幣基準指標。可能是以另一種貨幣表示的基金基準指標，或特徵類似的不同特定貨幣基準指標。這些股份類別的適用基準指標揭露於相關的 KIID。

**基本貨幣：**美元。

**投資經理：**Aberdeen Asset Managers Limited

**次投資經理：**abrdn Inc.

**投資流程：**主動股票 - 主題型投資

**投資人概況：**本基金廣泛投資於全球股票市場，可能適合打算藉由投資符合本基金推廣 ESG 投資流程的股票標的，追求長期總回報的投資人。投資人持有本基金可能做為單獨全球股票投資，或做為分散的投資組合中之一部分。投資人應有長期投資的期間。

### 3. 安本標準 - 北美小型公司基金

#### 投資目標及政策

本基金的投資目標為將至少 70% 的基金資產投資於以下公司發行之小型股和股票相關證券而獲得長期總回報：於美國（USA）或加拿大上市、成立或設址的公司，或營收或利潤之顯著部分來自美國或加拿大業務或者資產之顯著部分位於此二國。

投資在加拿大上市、設立或設址公司的比例預期將不會超過 20%

小型公司的定義為市值低於美國整體市場第 10 百分位數之股票。

基金係主動管理。基金旨在令其費用前績效超越基準指標羅素 2000 指數（美元）的費用前績效。此基準指標亦用作投資組合建構的參考點，及設定風險限制的基礎，但不包含任何永續標準。

基金為達成目標，將持有權重偏離基準指標的部位，且可能投資未包含於基準指標的證券。基金的投資內容可能顯著偏離其在基準指標中的項目與相應權重。由於管理流程的主動性質使然，基金的績效概況可能與基準指標顯著偏離。

基金彰顯環境與社會特點，但未有永續投資目標。  
投資所有股票與股票相關證券將遵循 abrdn「北美小型公司推廣 ESG 股票投資方法」。

藉由使用此一投資方法，該基金追求達成與基準指標相等或更佳的 ESG 評等，以及顯著低於基準指標的碳濃度。

此方法應用了 abrdn 的股票投資流程，讓投資組合經理能以質化方式辨識並避開 ESG 表現落後的標的。作為此研究的補充，abrdn 的 ESG 內部評分可用來以量化方式辨識並排除有最大 ESG 曝險的公司。此外，abrdn 還應用一套與聯合國全球盟約、爭

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茲由盧森堡金融監督管理委員會（CSSF）授權並受其監管。盧森堡註冊編號 B27471。

議武器、煙草製造與燃料煤相關的公司排除標準。關於整體流程的更多細節可參閱 abrdn 的「北美小型公司推廣 ESG 股票投資方法」，公開發布於 [www.abrdn.com](http://www.abrdn.com) 的「永續投資」。

可接觸外部公司管理團隊評量該些公司的所有權結構、治理和管理品質，作為投資組合建構的參考。

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基金可為對沖及／或投資目的或管理外匯風險而使用金融衍生工具，惟須受相關法律及法規所訂定的條件及限制之規限。為避免與／或投資目的而使用衍生性商品的機會預期將極為有限，主要於大量資金流入基金時使用，以在維持該基金之股票與股票相關證券投資之際同時進行現金投資。

股份類別非以基金的基本貨幣計價者，一般進行績效比較時會使用特定貨幣基準指標。可能是以另一種貨幣表示的基金基準指標，或特徵類似的不同特定貨幣基準指標。這些股份類別的適用基準指標揭露於相關的 KIID。

**基本貨幣：**美元。

**投資經理：**abrdn Inc.

**投資流程：**主動股票 - 價值導向投資

**投資人概況：** 此基金可投資美國小型公司，可能適合打算藉由投資符合本基金推廣 ESG 投資流程的股票標的，追求資本增值機會的投資人。雖然小型公司常被認為擁有高報酬，但其風險亦高於績優股公司。由於此一額外的波動性，投資人持有本投資組合可能做為現有核心投資組合的補充，且可能需有長期投資期間。

#### 安本標準基金

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