



## 關於歐盟制裁之訊息

考慮近來烏克蘭之事件升級、適用於俄羅斯及白俄羅斯之制裁措施，以及本公司與您就普徠仕於盧森堡註冊之自有基金（下稱「本基金」）之銷售所達成之合約安排，本公司希望提請臺端注意歐盟對俄羅斯及白俄羅斯所發佈對本基金具有影響之限制性措施。

### 俄羅斯制裁：

特別是於2022年2月25日之理事會第2022/328號規則第5f條，修訂第833/2014號規則，該規則就俄羅斯破壞烏克蘭局勢穩定行動之限制性措施 (<https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32022R0328&qid=1646061091765&from=EN>)，禁止向居住於俄羅斯之任何俄羅斯國民或自然人或於俄羅斯設立之任何法人、實體或團體，出售於2022年4月12日以後所發行以歐元計價之可轉讓證券，或就此等證券提供曝險之集合投資單位。此禁令不適用於成員國國民，或於成員國具有臨時或永久居留許可之自然人。

### 白俄羅斯制裁：

2022年3月9日歐盟理事會第2022/398號規則，修訂歐盟理事會第765/2006號規則，關於俄羅斯破壞烏克蘭局勢穩定行動之限制性措施 ([https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX%3A32022R0398&from=EN&utm\\_campaign=email-220309-a3f11](https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX%3A32022R0398&from=EN&utm_campaign=email-220309-a3f11))，禁止向居住於白俄羅斯之任何白俄羅斯國民或自然人或於白俄羅斯設立之任何法人、實體或團體，出售於2022年4月12日以後所發行以歐元計價之可轉讓證券或就此等證券提供曝險之集合投資單位。此禁令不適用於成員國國民，或於成員國具有臨時或永久居留許可之自然人。

有鑑於上述情況，本公司懇請臺端確保採取適當之控制措施，以確保遵循上述法規義務，及就未來幾日主管機關可能發佈之任何其他措施。如有困難敬請隨時通知本公司。

(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

如果臺端有任何問題，請隨時與本公司聯繫，並請及時向本公司報告任何可能影響臺端遵守所適用之措施能力之情況。



姓名： **Giorgio Costanzo**

執行經理



## COMMUNICATION ON EU SANCTIONS

Considering the recent escalation of events in Ukraine, the sanctions regime applied to Russia and Belarus and the contractual arrangement we have with you in relation to the distribution of the T. Rowe Price Luxembourg based proprietary funds (the "Funds"), we wish to draw your attention to the restrictive measures issued by the European Union on Russia and Belarus that have an impact on the Funds.

### **Russian Sanction:**

In particular, Article 5f of COUNCIL REGULATION 2022/328 of 25 February 2022 amending Regulation No 833/2014 concerning restrictive measures in view of Russia's actions destabilising the situation in Ukraine <https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32022R0328&qid=1646061091765&from=EN>, **prohibits to sell euro denominated transferable securities issued after 12 April 2022 or units in collective investment undertakings providing exposure to such securities to any Russian national or natural person residing in Russia or any legal person, entity or body established in Russia. This prohibition does not apply to nationals of a Member State or natural persons having a temporary or permanent residence permit in a Member State.**

### **Belarus Sanctions:**

COUNCIL REGULATION (EU) 2022/398 of 09 March 2022 amending Regulation (EU) No 765/2006 concerning restrictive measures in view of Russia's actions destabilising the situation in Ukraine [[https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX%3A32022R0398&from=EN&utm\\_campaign=email-220309-a3f11](https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX%3A32022R0398&from=EN&utm_campaign=email-220309-a3f11)] **prohibits to sell euro denominated transferable securities issued after 12 April 2022 or units in collective investment undertakings providing exposure to such securities, to any Belarusian national or natural person residing in Belarus or any legal person, entity or body established in Belarus. This shall not apply to nationals of a Member State or natural persons having a temporary or permanent residence permit in a Member State.**

In light of the above, we kindly ask you to ensure that you have in place the appropriate controls to ensure compliance with the regulatory obligations mentioned above and to any other measure that may be issued by the competent authority in the coming days. Please keep us informed otherwise.

Please do not hesitate to contact us should you have any questions and please promptly report to us any situation that may compromise your ability to comply with the applicable measures.

**Name: Giorgio Costanzo**

**Conducting Officer**

