

2021 年 12 月 3 日

重要資訊：如 台端對本通知之內容有任何疑問，請諮詢 台端之經紀商、銀行經理、律師、會計師或其他獨立財務顧問。如收件人已出售或轉讓所有證券，應將本通知及任何其他相關文件轉交予中介出售或轉讓之人，以轉交買受人或受讓人。

管理機構對本通知中包含之資訊於其發佈當日之準確性負責。

AXA Rosenberg Equity Alpha Trust (「本信託」)
單位持有人 (下稱「單位持有人」) 通知書

致各單位持有人：

本函之目的係通知 台端有關本信託之變更。除另有載明外，本通知中一切英文字首大寫用語悉與本信託之公開說明書 (「公開說明書」) 所載定之意涵相同。

台端無須採取任何行動，惟本公司建議 台端閱讀本通知。

管理機構變更

AXA Rosenberg Management Ireland Limited (「**管理機構**」) (即本信託之現任管理機構) 之董事，謹通知台端管理機構辭去本信託管理機構職務之計畫、相應之信託管理機構異動與對 AXA Investment Managers Paris 擔任管理機構進行指派之事宜。

變更原因

茲注意，管理機構之唯一股東 AXA Investment Managers 對其愛爾蘭之業務進行內部策略檢討並做出合理化該業務之決定後，管理機構決定辭去本信託管理機構之職務，並已指派 AXA Investment Managers Paris 為本信託之管理機構 (下稱「**新管理機構**」)。

AXA Rosenberg Management Ireland Limited
78 Sir John Rogerson's Quay, Dublin 2, Ireland
Directors: Jean-Louis Laforge (France), David Cooke (Ireland), Kevin O'Brien (Ireland), Gideon Smith (UK), Josephine Tubbs (UK), Peter Warner (UK).
Registered in Ireland No. 310308, Private Company Limited by Shares
Registered office: 78 Sir John Rogerson's Quay, Dublin 2, Ireland

本公司向 台端保證，該變更不會使子基金或本信託之管理方式發生任何變化，亦不會使子基金或信託的投資目標、策略及風險狀況發生任何變化。此變更亦不會影響台端作為子基金或信託單位持有人之權利。子基金或信託不因此變化而增加費用或支出。與此變更相關之費用及支出將由 AXA Investment Managers 負擔。

時程

因此，自 2021 年 12 月 31 日晚上 10 點 59 分(愛爾蘭時間)或相關當事人書面合意之其他時間及/或日期生效(下稱「生效時間」)，新任管理機構將取代管理機構，擔任本信託管理機構。

於生效日時間，新管理機構將取代管理機構成為 2018 年 8 月 31 日存託機構與管理機構間信託契約之當事人。

關於新管理機構

新管理機構係依法國法成立之有限責任公司，於 Nanterre 貿易及公司登記冊上註冊，編號為 353 534 506，並於 1992 年 4 月 7 日經法國金融市場管理局 (AMF) Autorité des Marchés Financiers (AMF)頒發核准證號碼 GP 92-08，依法國實施之 2011/61/EU 指令，被授權為 UCITS 管理公司及另類投資基金管理公司(AIFM)。

後續行動

上述變更應自生效時間起生效，並應反映於修訂後之公開說明書中，得於行政管理機構索取公開說明書之副本。

此外，於生效時間後所為之申購，請依下列銀行帳戶名稱，支付申購款項至下列帳戶：

- 如以日元 (¥) 申購，請註明「AXA Inv Mgs Paris FBO AREA Trust」
- 如以日元 (¥) 以外之貨幣進行申購，請註明「AXA Rosenberg Equity Alpha Trust」

除上述銀行帳戶名稱外，其他為支付 台端申購款項相關之銀行帳戶標準結算指示(「SSI's」)與生效前之 SSI's 相同。

如對本通知內容有任何疑問，請聯絡 axadealing@statestreet.com.

謹致

董事

AXA Rosenberg Management Ireland Limited

AXA Rosenberg Management Ireland Limited

78 Sir John Rogerson's Quay, Dublin 2, Ireland

Directors: Jean-Louis Laforge (France), David Cooke (Ireland), Kevin O'Brien (Ireland), Gideon Smith (UK), Josephine Tubbs (UK), Peter Warner (UK).

Registered in Ireland No. 310308, Private Company Limited by Shares

Registered office: 78 Sir John Rogerson's Quay, Dublin 2, Ireland

30 November, 2021

IMPORTANT: If you are in any doubt about the contents of this notice, you should consult your stockbroker, bank manager, solicitor, accountant or other independent financial adviser. Where all the securities have been sold or transferred by the addressee, the circular and any other relevant documents should be passed to the person through whom the sale or transfer was effected for transmission to the purchaser or transferee.

The Manager accepts responsibility for the information contained in this notice as being accurate at the date of its publication.

NOTICE TO HOLDERS OF UNITS (THE “UNITHOLDERS”)

In the AXA Rosenberg Equity Alpha Trust (the “Trust”)

Dear Unitholder,

The purpose of this letter is to notify you of change which is being made with respect to the Trust. Unless otherwise noted, all capitalised terms contained in this notice shall have the same meaning as set out in the Trust's prospectus (the “**Prospectus**”).

You do not need take any action, however we recommend that you read this letter.

Change of Manager

The directors of AXA Rosenberg Management Ireland Limited (the “**Manager**”), the current manager of the Trust, wish to notify you of its intention to resign as manager of the Trust and the consequential change of manager of the Trust and appointment of AXA Investment Managers Paris.

Reasons for the change

Please note that following an internal strategic review by AXA Investment Managers, the sole owner of the Manager, of its operations in Ireland and a subsequent decision to rationalise those operations, the Manager has decided to resign as manager of the Trust and it has been decided to appoint AXA Investment Managers Paris as manager of the Trust (the “**Incoming Manager**”).

We would like to assure you that the change will not result in any changes in the manner in which the Sub-Funds or the Trust are managed and will not result in any change in the investment objective and strategy and risk profiles of the Sub-Funds or the Trust. Nor will the change affect your rights as a unitholder of the of the Sub-Funds or Trust. There are no fee or charge increases for the Sub-Funds or the Trust as a result of this change. The fees and expenses relating to this change will be borne by AXA Investment Managers.

Timing

Accordingly, with effect from 10.59 p.m. (Irish Time) on 31 December 2021 or such other time and/or date as agreed between the relevant parties in writing (the “**Effective Time**”), the Incoming Manager will replace the Manager as manager of the Trust.

As at the Effective Time the Incoming Manager will replace the Manager as a party to the Trust Deed between the Depositary and the Manager dated 31 August 2018.

About the Incoming Manager

The Incoming Manager is incorporated as a limited liability company under the laws of France, is registered with the Nanterre Trade and Companies Register under number 353 534 506 and is a holder of Autorité des Marchés Financiers (AMF) approval no. GP 92-08, issued on 7 April 1992 and is authorised as both a management company of UCITS and an Alternative Investment Fund Manager (AIFM) pursuant to Directive 2011/61/EU as implemented in France.

Next Steps

The changes referred to above shall be effective from the Effective Time and shall be reflected in a revised Prospectus, a copy of which shall be available from the Administrator.

Further, when placing subscription orders after the Effective Time please quote the following bank account names to pay the subscription monies to:

- for subscriptions to be made in Japanese Yen (¥) please quote “*AXA Inv Mgs Paris FBO AREA Trust*”
- for subscriptions to be made in a currency other than Japanese Yen (¥) please quote “*AXA Rosenberg Equity Alpha Trust*”

All other Standard Settlement Instructions (“**SSI’s**”), other than the bank account names above, relating to the bank accounts to pay your subscription monies remain the same as the SSI’s prior to the Effective Time.

If you have any questions about the contents of this notice please contact axadealing@statestreet.com.

Yours sincerely,



Director

AXA Rosenberg Management Ireland Limited

AXA Rosenberg Management Ireland Limited
78 Sir John Rogerson’s Quay, Dublin 2, Ireland

Directors: Jean-Louis Laforge (France), David Cooke (Ireland), Kevin O’Brien (Ireland), Gideon Smith (UK), Josephine Tubbs (UK), Peter Warner (UK).

Registered in Ireland No. 310308, Private Company Limited by Shares
Registered office: 78 Sir John Rogerson’s Quay, Dublin 2, Ireland