

(中譯文僅供參考，與原文相較可能不盡完整或有歧異，如有疑義應以英文本為準。)



First Sentier Investors Global Umbrella Fund

an umbrella fund with segregated liability between sub-funds

首源投資環球傘型基金
旗下子基金間責任明確劃分之傘型基金

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www.firstsentierinvestors.com

(節譯文)

9 November 2021

2021 年 11 月 9 日

This document is important and requires your immediate attention. If you are in any doubt as to the action you should take, you should seek advice from your investment consultant, tax adviser and/or legal adviser as appropriate.

本文件為重要文件並須台端立即注意。如台端對於將採取之行動有任何疑慮，台端應適時諮詢台端的投資顧問、稅務顧問及/或法律顧問。

If you have sold or transferred all of your Shares in FSSA Singapore and Malaysia Growth Fund (the “Fund”), a sub-fund of First Sentier Investors Global Umbrella Fund plc (the “Company”) please pass this letter to the purchaser or transferee or to the stockbroker, bank or other agent through whom the sale or transfer was effected for transmission to the purchaser or transferee as soon as possible.

如台端已出售或轉讓首域盈信星馬增長基金（下稱「本基金」）之所有持股，本基金為首源投資環球傘型基金有限公司（下稱「本公司」）旗下之一檔子基金，請將本函交付予購買人、受讓人，或執行出售或轉讓之股票經紀人、銀行或其他代理人，以使其儘速交付予購買人或受讓人。

Unless otherwise defined, capitalised terms used herein shall bear the same meaning as capitalised terms used in the prospectus for the Company dated 9 March 2021 (the “Prospectus”) and any supplements and the applicable local covering documents. A copy of the Prospectus is available upon request during normal business hours from the registered office of the Company.

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除本函另有定義外，此所使用之定義詞彙應與本公司 2021 年 3 月 9 日之公開說明書 (下稱「公開說明書」) 及任何補充文件及適用之當地增補文件所使用者具有相同涵義。公開說明書之副本將可於一般營業時間於本公司登記之營業處所供索取。

The Directors accept responsibility for the accuracy of the information contained in this document. To the best of the Directors' knowledge and belief the information contained in this document is in accordance with the facts and does not omit anything likely to affect the import of such information.

董事對於本函中所含資訊之正確性負責。依據董事最佳之所知及所信，本函所載之內容均與事實相符，且並未遺漏任何可能重大影響該等資訊內容。

To: Shareholders in the Fund (“Shareholders”)

致：本基金之股東 (下稱「股東」)

Re: - Outcome of the Extraordinary General Meeting of the Fund
 - Changes to the investment objective and policy of the Fund

主旨： - 本基金特別股東大會之結果
 - 本基金投資目標及政策之變更

A. OUTCOME OF EXTRAORDINARY GENERAL MEETING

特別股東大會之結果

We are writing to notify you of the outcome of the Extraordinary General Meeting of the Fund held on 5 October 2021 (the “EGM”), as convened by the EGM notice dated 1 September 2021.

謹致函通知台端於 2021 年 10 月 5 日舉行之本基金特別股東大會 (下稱「本特別股東大會」) 之結果，本特別股東大會係由 2021 年 9 月 1 日之特別股東大會通知召集。

The purpose of the EGM was to consider the proposed changes to the investment objective and policy of the Fund.

本特別股東大會旨在審酌本基金投資目標及政策之擬議變更。

The ordinary resolution relating to the proposed changes to the investment objective and policy of the Fund was approved by the Shareholders.

關於本基金投資目標及政策擬議變更之普通決議案業經股東同意。

B. CHANGES TO INVESTMENT OBJECTIVE AND POLICY OF THE FUND

本基金投資目標及政策之變更

As a result of the resolution outlined above, we are writing to you as a Shareholder of the Fund to notify you that the investment objective and policy of the Fund will be changed with effect from the date of issuance of an updated Prospectus. This is expected to occur on 9 December 2021 (the “Effective Date”). Further to the proposed changes to the investment objective and policy of the

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Fund as considered and passed at the EGM, minor additional changes will be made to the investment policy of the Fund for clarification and enhancement of disclosures.

由於上述決議，謹致函通知身為本基金股東之台端，本基金之投資目標及政策將於更新之公開說明書發布之日起變更。此預計將於 **2021 年 12 月 9 日** (下稱「生效日」) 發生。繼特別股東大會決議並通過之投資目標及政策擬議變更後，將就本基金之投資政策進行微幅之額外變更，以闡明並強化其揭露。

As from the Effective Date, the Fund will be managed in accordance with the revised investment objective and policy as follows under its new name “FSSA ASEAN All Cap Fund”:-

自生效日起，本基金將依下列修訂後之投資目標及政策以新名稱「首域盈信東協全市值基金(原名稱：首域盈信星馬增長基金) 」管理之。

Investment Policy:

投資政策：

The Fund invests primarily (at least 70% of its Net Asset Value) in equity securities or equity-related securities of companies that are, at the time of investment, listed, or have their registered offices in, or conduct a majority of their economic activity in member countries of ASEAN.

該基金主要投資 (其淨資產價值至少 70%) 於投資時，在東協成員國中上市、註冊其辦公室或進行其主要經濟活動之公司的股權證券或股權相關證券。

The Fund is not subject to any limitation on the portion of its Net Asset Value that may be invested in any sector or any limitation on the market capitalisation of the companies in which it may invest. In regards to the Fund's primary investments in or exposure to ASEAN as described above, the Fund is also not required to invest and maintain an investment exposure to each and every ASEAN member country.

該基金得投資於任何產業之淨資產價值比例不受限制，其得投資公司之市值亦無限制。就上述該基金於東協之主要投資或曝險，該基金亦無須投資並維持就各東協成員國之投資曝險。

The Fund will invest less than 30% of its Net Asset Value in equity securities which are not described above and which are listed, traded or dealt in on Regulated Markets worldwide.

基金亦可投資不超過其淨資產價值之 30% 於上述未提及之股權證券，且該等證券於全球之受規範市場掛牌、買賣或交易。

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The Fund's maximum exposure to China A Shares including those listed on the ChiNext and/or STAR Boards (whether directly through the QFII/RQFII or the Stock Connects, and/or indirectly through equity linked or participation notes and collective investment schemes) will be less than 30% of the Fund's Net Asset Value.

該基金對中國 A 股 (包括該等掛牌於中國創業板及/或科創板者) (不論是直接透過 QFII/RQFII 或股票聯通機制及/或間接透過股票連結或參與證券及集合投資計畫) 之最高曝險將低於該基金淨資產價值之 30% 。

The Fund may only use FDIs for purposes of hedging and efficient portfolio management. It is not intended that the Fund will avail of the opportunity to invest in FDIs for investment purposes.

該基金僅得為避險及有效投資組合管理之目的使用衍生性金融商品工具。該基金並不擬利用機會以投資目的投資於衍生性金融商品工具。

Benchmark information:

指標資訊：

The Fund is actively managed meaning that the Investment Manager uses its expertise to pick investments rather than tracking the allocation and therefore the performance of the benchmark. The Fund's performance is compared against the value of the following benchmark: MSCI AC ASEAN Index.

該基金採主動式管理，亦即投資經理利用其專業進行投資之挑選，而非追蹤指標之配置及其績效。該基金之績效與以下指標之價值進行比較：MSCI AC 東協指數 (MSCI AC ASEAN Index) 。

The benchmark is not used to limit or constrain how the Fund's portfolio is constructed, nor is it part of a target set for the Fund's performance to match or exceed. The benchmark has been identified as a means by which investors can compare the Fund's performance and has been chosen because its constituents most closely represent the scope of the Fund's investable assets.

指標並非用於限制或拘束本基金投資組合之組成方式，亦非為了該基金績效要達致或超越所設定目標之一環。指標為投資人比較基金績效之方式之一，且選擇此指標係因其組成最能代表基金可投資資產之範圍。

A majority of the Fund's assets could be components of the benchmark. The Investment Manager has discretion within the Fund's investment policy to invest away from the benchmark and sector requirements, and without regard to the weighting of benchmark assets, in order to take advantage of specific investment opportunities. The investment strategy of the Fund does not restrict the extent to which the portfolio holdings may deviate from the benchmark.

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該基金大部分資產可能為指標之組成成分。投資經理得於基金之投資政策範圍內，自行決定進行投資時，是否脫離指標及行業之要求，而不考慮指標之資產比重，俾能夠掌握特定之投資機會。該基金之投資策略對於投資組合之持有標的可能偏離指標之程度，並無限制。

MSCI AC ASEAN Index captures large and mid cap representation across 4 Emerging Markets countries and 1 developed market country.

MSCI AC 東協指數 (MSCI AC ASEAN Index) 涵蓋代表橫跨 4 個新興市場及 1 個已開發市場國家之大型及中型股。

C. COSTS

成本

The costs and expenses that will be incurred in connection with the changes to the investment objective and policy of the Fund will be borne by the Investment Manager.

因本基金投資目標及政策變更所生之成本及費用將由投資經理負擔。

D. CONSEQUENCES OF THIS DECISION FOR SHAREHOLDERS IN THE FUND

此決定對本基金股東之影響

If you wish to voluntarily redeem your Shares, you may do so on any Dealing Day until 10 a.m. Irish time / 5 p.m. Hong Kong time (being the dealing cut-off time) or such other dealing cut-off time as the intermediaries may impose (“**Cut-Off Time**”) on the last Dealing Day prior to the Effective Date, which is expected to be 8 December 2021 (“**Last Dealing Day**”), in accordance with the terms of the Prospectus (please refer to the section of the Prospectus entitled “*Buying, Selling and Switching Shares - Redeeming Shares*” for further details). **There are currently no redemption fees levied on the redemption of Shares in the Fund.**

如台端希望自願贖回您的股份，您得於直至愛爾蘭時間上午 10 時/香港時間下午 5 時（即交易截止時間），或於生效日前之最後交易日中介機構可能訂定之其他交易截止時間（下稱「截止時間」），預計為 2021 年 12 月 8 日（下稱「最後交易日」），依公開說明書之條款進行（更多詳細資訊請參公開說明書標題為「購入、出售及轉換股份 - 買回股份」乙節）。贖回本基金目前不收取贖回費。

You can also choose to switch your Shares, free of any switching fee, on any Dealing Day until the Cut-Off Time on the Last Dealing Day for Shares of another available sub-fund of the Company under the normal switching procedures set out in the Prospectus. For Hong Kong investors, such sub-fund must be authorised by the Hong Kong Securities and Futures Commission (“SFC”) for offering to the public

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in Hong Kong¹ (please refer to the section of the Prospectus entitled “*Buying, Selling and Switching Shares - Exchanges/Switching*” for further details).

台端得依照公開說明書規定之正常轉換程序於任何交易日將您的股份轉換為本公司其他可得之子基金股份而毋庸支付轉換費，直至最後交易日之截止時間。(略譯)

Please note that although we will not impose any switching fees in respect of your switching instructions, your bank, distributor, or financial adviser may charge you switching and/or transaction fees. You are advised to contact your bank, distributor or financial adviser should you have any questions.

謹請注意，儘管我們不會就您的轉換指示收取任何轉換費，但您的銀行、經銷商或財務顧問可能會向您收取轉換及/或交易費。如您有任何疑問，建議您與您的銀行、經銷商或財務顧問聯繫。

If you take no action, you will continue to be a Shareholder in the Fund which will be managed in accordance with the revised investment objective and policy under its new name as set out above.

如台端未採取任何行動，您將繼續作為本基金之股東，本基金將依上述修訂之投資目標及政策並以新名稱管理之。

If you are unsure about what action to take, you should contact a professional adviser.

如台端不確定要採取何種行動，您應聯繫專業顧問。

E. TAX IMPLICATIONS

稅務影響

Shareholders should be aware that the redemption of Shares in the Fund or the switching of Shares in the Fund for Shares in another sub-fund of the Company may be a taxable event.

股東應注意，贖回本基金之股份或將本基金之股份轉換為本公司另一子基金之股份可能為一應稅事件。

The tax implications of your shareholding vary depending on the law and regulations of your country of residence, citizenship or domicile. Please consult your tax advisor if you require further advice.

就台端持股之稅務影響因您的居住國、公民身分或住所地之法規而異。如您需要進一步之建議，請諮詢台端之稅務顧問。

F. CONTACT DETAILS

聯絡方式

¹ SFC authorisation is not a recommendation or endorsement of the Company's funds, nor does it guarantee the commercial merits of the funds or their performance. It does not mean the funds are suitable for all investors nor is it an endorsement of their suitability for any particular investor or class of investors.

(略譯)

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Should you have any questions relating to these matters, you should either contact us at the above address or alternatively you should contact your investment consultant, tax adviser and/or legal adviser as appropriate.

如台端就此等事項有任何疑問，您應透過上述地址與我們聯繫，或您應適時聯繫您的投資顧問、稅務顧問及/或法律顧問。

Additionally, if Shareholders have any questions relating to the above matters then:

此外，如股東就上述事項有任何疑問：

European Shareholders may also contact us via the following means:

- by telephone: +353 1 635 6798 (telephone calls may be recorded for your security);
- by email: ifsinvestorqueries@hsbc.com; or
- in writing: HSBC Securities Services (Ireland) Ltd, 1 Grand Canal Square, Grand Canal Harbour, Dublin 2, Ireland.

Hong Kong Shareholders may also contact:

- by telephone: the Investment Manager's Investor Services Hotline on +852 2846 7566 (telephone calls may be recorded for your security);
- by email: infohk@firstsentier.com; or
- in writing: Hong Kong Representative, First Sentier Investors (Hong Kong) Limited, 25th Floor, One Exchange Square, 8 Connaught Place, Central, Hong Kong.

Singapore Shareholders may also contact the Company's Singapore Representative:

by telephone: +65 6580 1390
by email: infoSG@firstsentier.com
or in writing: First Sentier Investors (Singapore)
79 Robinson Road, #17-01, Singapore 068897

(略譯)

G. DOCUMENTS AVAILABLE

文件提供

Copies of the Prospectus (and, for Hong Kong investors, the Supplement for Hong Kong Investors to the Prospectus and the Product Key Facts Statement relating to the Fund) are available upon request free of charge during normal business hours by contacting us at the contact details set out above, or from our website www.firstsentierinvestors.com².

公開說明書之副本得於正常營業時間依上述聯絡方式與我們聯絡免費索取，或於我們的網站取得：
www.firstsentierinvestors.com。

² This website has not been reviewed by the SFC and may contain non-SFC authorised funds and/or classes of Shares that are not available to Hong Kong investors.

(略譯)

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On behalf of the Board of Directors



First Sentier Investors Global Umbrella Fund plc

謹代表董事會

首源投資環球傘型基金有限公司